

## PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

COZENS, Paul, Dennis  
 Mathys & Squire  
 100 Gray's Inn Road  
 London WC1X 8AL  
 ROYAUME-UNI

Date of mailing (day/month/year) 05 February 2001 (05.02.01)	
Applicant's or agent's file reference PDC/AB/20922	IMPORTANT NOTIFICATION
International application No. PCT/IB99/01637	International filing date (day/month/year) 24 September 1999 (24.09.99)

## 1. The following indications appeared on record concerning:

the applicant     the inventor     the agent     the common representative

Name and Address REY, François 10, avenue du Professeur Calmette F-92130 Issy-les-Moulineaux France	State of Nationality FR	State of Residence FR
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

the person     the name     the address     the nationality     the residence

Name and Address REY, François 10, montée St. Jean F-73370 Le Bourget du Lac France	State of Nationality FR	State of Residence FR
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

4. A copy of this notification has been sent to:
--

the receiving Office     the designated Offices concerned  
 the International Searching Authority     the elected Offices concerned  
 the International Preliminary Examining Authority     other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	Authorized officer Dominique DELMAS  Telephone No.: (41-22) 338.83.38
---	--

**PATENT COOPERATION TREATY**  
**PCT**

**INTERNATIONAL SEARCH REPORT**

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference  PDC/AB/20922	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No.  PCT/ IB 99/ 01637	International filing date (day/month/year)  24/09/1999	(Earliest) Priority Date (day/month/year)  25/09/1998
Applicant  CANAL+ SOCIETE ANONYME et al.		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 5 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
  - the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing :
  - contained in the international application in written form.
  - filed together with the international application in computer readable form.
  - furnished subsequently to this Authority in written form.
  - furnished subsequently to this Authority in computer readable form.
  - the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
  - the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2.  Certain claims were found unsearchable (See Box I).

3.  Unity of invention is lacking (see Box II).

4. With regard to the title,

- the text is approved as submitted by the applicant.
- the text has been established by this Authority to read as follows:

5. With regard to the abstract,

- the text is approved as submitted by the applicant.
- the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No.

- as suggested by the applicant.
- because the applicant failed to suggest a figure.
- because this figure better characterizes the invention.

7

None of the figures.

## INTERNATIONAL SEARCH REPORT

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-4, 10-17, 23-30, 36-41

#### Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-4,10-17,23-30,36-41

A method of transmission of application data providing a plurality of application data tables containing information regarding applications contained within a bouquet of services, with a specific TID extension value assigned to each application data table associated with a bouquet of services.

2. Claims: 5,8,18,21,31,34

A method of transmission of application data providing an application data table which includes information regarding which applications may be accessed via each service.

3. Claims: 6,19,32

A method of transmission of application data providing an application data table which includes information relating to the size of memory required to execute an application.

4. Claims: 7,20,33

A method of transmission of application data providing an application data table which includes a priority value indicating the relative priority of an application.

5. Claims: 9,22,35

A method of transmission of application data providing an application data table which includes a flag value concerning the action to be taken with an application upon a change of service.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/01637

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 7	H04N5/00	H04N7/52
		H04N7/24

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7	H04N	
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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 840 194 A (MATSUSHITA ELECTRIC IND CO LTD) 6 May 1998 (1998-05-06)	1-3, 13-16, 25, 27-30, 38-41
Y	the whole document  ---	8,10-12, 17,23, 24,26, 36,37  -/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents .

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

3 February 2000

18.05.2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Fassnacht, C

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/01637

## C.(Continuation) DOCUMENTS CONSIDERED AS TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EUROPEAN BROADCASTING UNION: "digital broadcasting systems for television, sound and data services; specification for service information (SI) in digital broadcasting (DVB) systems" October 1995 (1995-10), EUROPEAN TELECOMMUNICATION STANDARD, EUROPEAN TELECOMMUNICATIONS STANDARDS INSTITUTE XP002079535 * section "4 Service Information (SI) description" * section "5.2.2 Bouquet Association Table (BAT)" * * section "5.2.3 Service Description Table (SDT)" * * section "5.2.4 Event Information Table (EIT)" *	8,10-12, 17,23, 24,26, 36,37
A	---	1,14, 27-29
X	EP 0 854 650 A (NOKIA TECHNOLOGY GMBH) 22 July 1998 (1998-07-22)  page 3, line 30 -page 4, line 15 page 5, line 30 -page 5, line 32 ---	1,2, 10-15, 23-29, 36-41
A	TEN KATE W. ET AL: "trigg&link: a new dimension in television program making" MULTIMEDIA APPLICATIONS, SERVICES AND TECHNIQUES - ECMAST'97, 21 - 23 May 1997, pages 51-65, XP002094655 Milan, Italy page 55, line 18 -page 55, line 32 page 52, line 11 -page 53, line 14 page 57, line 1 -page 58, line 16 figure 2 ---	1-4,10, 11, 14-17, 23,24, 27-30, 36,37
A	WO 97 46009 A (THOMSON CONSUMER ELECTRONICS) 4 December 1997 (1997-12-04)  page 3, line 20 -page 3, line 30 ---	1,2,4, 14,16, 17,27-29
A	WO 97 24832 A (SCIENTIFIC ATLANTA) 10 July 1997 (1997-07-10) page 6, line 33 -page 7, line 18 figure 1 ---	1,3,14, 16,27-30
P,X	WO 99 15968 A (WORLDPAGE COMMUNICATIONS INC) 1 April 1999 (1999-04-01)  page 6, line 3 -page 7, line 18 figures 1,4-6 ---	1,3, 12-14, 16,25-30
	-/-	

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/01637

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	EP 0 946 019 A (CANAL PLUS SA) 29 September 1999 (1999-09-29) paragraph [0050] - paragraph [0054] figures 3,4 -----	1,14, 27-29

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/IB 99/01637

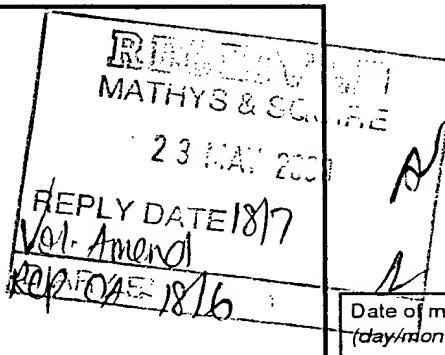
Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0840194	A	06-05-1998	JP 10133955 A AU 695948 B AU 2839497 A CN 1182916 A	22-05-1998 27-08-1998 07-05-1998 27-05-1998
EP 0854650	A	22-07-1998	FI 970186 A	17-07-1998
WO 9746009	A	04-12-1997	US 5844478 A AU 3150797 A AU 3150897 A AU 3209497 A AU 716349 B AU 3213297 A AU 3213397 A BR 9709409 A BR 9709410 A BR 9709420 A BR 9709494 A BR 9709508 A CN 1226354 A CN 1226359 A CN 1226355 A CN 1226356 A CN 1226357 A EP 0903033 A EP 0903034 A EP 0903035 A EP 0903036 A EP 0903038 A PL 330219 A WO 9746007 A WO 9746008 A WO 9746017 A WO 9746010 A US 5844595 A US 5838873 A US 5754651 A US 5933500 A	01-12-1998 05-01-1998 05-01-1998 05-01-1998 24-02-2000 05-01-1998 05-01-1998 10-08-1999 10-08-1999 10-08-1999 10-08-1999 10-08-1999 18-08-1999 18-08-1999 18-08-1999 18-08-1999 18-08-1999 24-03-1999 24-03-1999 24-03-1999 24-03-1999 24-03-1999 10-05-1999 04-12-1997 04-12-1997 04-12-1997 04-12-1997 04-12-1997 01-12-1998 17-11-1998 19-05-1998 03-08-1999
WO 9724832	A	10-07-1997	US 5870474 A AU 7009896 A DE 872077 T EP 0872077 A ES 2123479 T	09-02-1999 28-07-1997 06-05-1999 21-10-1998 16-01-1999
WO 9915968	A	01-04-1999	US 5961603 A AU 9473998 A	05-10-1999 12-04-1999
EP 0946019	A	29-09-1999	AU 2851099 A WO 9949614 A	18-10-1999 30-09-1999

# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

To:  
**MATHYS & SQUIRE**  
 Attn. COZENS, PAUL D.  
 100 Gray's Inn Road  
 London WC1X 8AL  
 UNITED KINGDOM



## NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

Applicant's or agent's file reference <b>PDC/AB/20922</b>	Date of mailing <i>(day/month/year)</i> <b>18/05/2000</b>
International application No. <b>PCT/ IB 99/ 01637</b>	<b>FOR FURTHER ACTION</b> See paragraphs 1 and 4 below  International filing date <i>(day/month/year)</i> <b>24/09/1999</b>
Applicant  <b>CANAL+ SOCIETE ANONYME et al.</b>	

1.  The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.

**Filing of amendments and statement under Article 19:**

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

- **When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the      International Bureau of WIPO  
 34, chemin des Colombettes  
 1211 Geneva 20, Switzerland  
 Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2.  The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3.  **With regard to the protest** against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority  European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  <b>Patricia Klingens-Herklots</b>
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## NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

**When?** Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

**How?** Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

**The amendments must be made in the language in which the international application is to be published.**

#### What documents must/may accompany the amendments?

**Letter (Section 205(b)):**

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

**The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.**

## NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

**The following examples illustrate the manner in which amendments must be explained in the accompanying letter:**

1. [Where originally there were 48 claims and after amendment of some claims there are 51]: "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]: "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

**It must be in the language in which the international application is to be published.**

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

## PATENT COOPERATION TREATY

PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>PDC/AB/20922</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/ IB 99/ 01637</b>	International filing date ( <i>day/month/year</i> ) <b>24/09/1999</b>	(Earliest) Priority Date ( <i>day/month/year</i> ) <b>25/09/1998</b>
Applicant <b>CANAL+ SOCIETE ANONYME et al.</b>		

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This International Search Report consists of a total of 5 sheets.

It is also accompanied by a copy of each prior art document cited in this report. *(already in your possession)*

## 1. Basis of the report

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
  - the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing :
  - contained in the international application in written form.
  - filed together with the international application in computer readable form.
  - furnished subsequently to this Authority in written form.
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  - the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
  - the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2.  Certain claims were found unsearchable (See Box I).

3.  Unity of invention is lacking (see Box II).

## 4. With regard to the title,

- the text is approved as submitted by the applicant.
- the text has been established by this Authority to read as follows:

## 5. With regard to the abstract,

- the text is approved as submitted by the applicant.
- the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

## 6. The figure of the drawings to be published with the abstract is Figure No.

- as suggested by the applicant.
- because the applicant failed to suggest a figure.
- because this figure better characterizes the invention.

7 None of the figures.

## INTERNATIONAL SEARCH REPORT

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-4,10-17,23-30,36-41

#### Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-4,10-17,23-30,36-41

A method of transmission of application data providing a plurality of application data tables containing information regarding applications contained within a bouquet of services, with a specific TID extension value assigned to each application data table associated with a bouquet of services.

2. Claims: 5,8,18,21,31,34

A method of transmission of application data providing an application data table which includes information regarding which applications may be accessed via each service.

3. Claims: 6,19,32

A method of transmission of application data providing an application data table which includes information relating to the size of memory required to execute an application.

4. Claims: 7,20,33

A method of transmission of application data providing an application data table which includes a priority value indicating the relative priority of an application.

5. Claims: 9,22,35

A method of transmission of application data providing an application data table which includes a flag value concerning the action to be taken with an application upon a change of service.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/01637

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 7 H04N5/00 N7/52 H04N7/24

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 840 194 A (MATSUSHITA ELECTRIC IND CO LTD) 6 May 1998 (1998-05-06)	1-3, 13-16, 25, 27-30, 38-41
Y	the whole document  ---	8,10-12, 17,23, 24,26, 36,37
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

3 February 2000

Date of mailing of the international search report

18.05.2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Fassnacht, C

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/01637

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EUROPEAN BROADCASTING UNION: "digital broadcasting systems for television, sound and data services; specification for service information (SI) in digital broadcasting (DVB) systems" October 1995 (1995-10), EUROPEAN TELECOMMUNICATION STANDARD, EUROPEAN TELECOMMUNICATIONS STANDARDS INSTITUTE XP002079535 * section "4 Service Information (SI) description" * section "5.2.2 Bouquet Association Table (BAT)" * * section "5.2.3 Service Description Table (SDT)" * * section "5.2.4 Event Information Table (EIT)" *	8,10-12, 17,23, 24,26, 36,37
A	---	1,14, 27-29
X	EP 0 854 650 A (NOKIA TECHNOLOGY GMBH) 22 July 1998 (1998-07-22)  page 3, line 30 -page 4, line 15 page 5, line 30 -page 5, line 32 ---	1,2, 10-15, 23-29, 36-41
A	TEN KATE W. ET AL: "trigg&link: a new dimension in television program making" MULTIMEDIA APPLICATIONS, SERVICES AND TECHNIQUES - ECMAST'97, 21 - 23 May 1997, pages 51-65, XP002094655 Milan, Italy page 55, line 18 -page 55, line 32 page 52, line 11 -page 53, line 14 page 57, line 1 -page 58, line 16 figure 2 ---	1-4,10, 11, 14-17, 23,24, 27-30, 36,37
A	WO 97 46009 A (THOMSON CONSUMER ELECTRONICS) 4 December 1997 (1997-12-04)  page 3, line 20 -page 3, line 30 ---	1,2,4, 14,16, 17,27-29
A	WO 97 24832 A (SCIENTIFIC ATLANTA) 10 July 1997 (1997-07-10) page 6, line 33 -page 7, line 18 figure 1 ---	1,3,14, 16,27-30
P,X	WO 99 15968 A (WORLDGATE COMMUNICATIONS INC) 1 April 1999 (1999-04-01)  page 6, line 3 -page 7, line 18 figures 1,4-6 ---	1,3, 12-14, 16,25-30
		-/-

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/01637

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	EP 0 946 019 A (CANAL PLUS SA) 29 September 1999 (1999-09-29) paragraph [0050] - paragraph [0054] figures 3,4 -----	1,14, 27-29

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB 99/01637

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0840194	A	06-05-1998	JP 10133955 A AU 695948 B AU 2839497 A CN 1182916 A	22-05-1998 27-08-1998 07-05-1998 27-05-1998
EP 0854650	A	22-07-1998	FI 970186 A	17-07-1998
WO 9746009	A	04-12-1997	US 5844478 A AU 3150797 A AU 3150897 A AU 3209497 A AU 716349 B AU 3213297 A AU 3213397 A BR 9709409 A BR 9709410 A BR 9709420 A BR 9709494 A BR 9709508 A CN 1226354 A CN 1226359 A CN 1226355 A CN 1226356 A CN 1226357 A EP 0903033 A EP 0903034 A EP 0903035 A EP 0903036 A EP 0903038 A PL 330219 A WO 9746007 A WO 9746008 A WO 9746017 A WO 9746010 A US 5844595 A US 5838873 A US 5754651 A US 5933500 A	01-12-1998 05-01-1998 05-01-1998 05-01-1998 24-02-2000 05-01-1998 05-01-1998 10-08-1999 10-08-1999 10-08-1999 10-08-1999 18-08-1999 18-08-1999 18-08-1999 18-08-1999 18-08-1999 24-03-1999 24-03-1999 24-03-1999 24-03-1999 24-03-1999 10-05-1999 04-12-1997 04-12-1997 04-12-1997 04-12-1997 01-12-1998 17-11-1998 19-05-1998 03-08-1999
WO 9724832	A	10-07-1997	US 5870474 A AU 7009896 A DE 872077 T EP 0872077 A ES 2123479 T	09-02-1999 28-07-1997 06-05-1999 21-10-1998 16-01-1999
WO 9915968	A	01-04-1999	US 5961603 A AU 9473998 A	05-10-1999 12-04-1999
EP 0946019	A	29-09-1999	AU 2851099 A WO 9949614 A	18-10-1999 30-09-1999

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference  PDC/AB/20922	<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No.  PCT/IB99/01637	International filing date (day/month/year)  24/09/1999	Priority date (day/month/year)  25/09/1998
International Patent Classification (IPC) or national classification and IPC  H04N5/00		
<b>Applicant</b> CANAL+ SOCIETE ANONYME et al.		
1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.  2. This REPORT consists of a total of 7 sheets, including this cover sheet.  <input type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  These annexes consist of a total of sheets.		
3. This report contains indications relating to the following items:  I <input checked="" type="checkbox"/> Basis of the report II <input type="checkbox"/> Priority III <input checked="" type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability IV <input type="checkbox"/> Lack of unity of invention V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement VI <input checked="" type="checkbox"/> Certain documents cited VII <input checked="" type="checkbox"/> Certain defects in the international application VIII <input checked="" type="checkbox"/> Certain observations on the international application		

Date of submission of the demand  13/04/2000	Date of completion of this report  25.10.2000
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Revellio, S  Telephone No. +49 89 2399 8973



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/01637

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-33                   as originally filed

**Claims, No.:**

1-41                   as originally filed

**Drawings, sheets:**

1/7-7/7               as originally filed

2. The amendments have resulted in the cancellation of:

the description,      pages:  
 the claims,           Nos.:  
 the drawings,         sheets:

3.  This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- the entire international application.  
 claims Nos. 5-9, 18-22, 29-41.

because:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/01637

- the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):
- the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 29, 30, 36-41 are so unclear that no meaningful opinion could be formed (*specify*):  
**see separate sheet**
- the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- no international search report has been established for the said claims Nos. 5-9, 18-22, 31-35.

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims
	No:	Claims 1, 14, 26-28
Inventive step (IS)	Yes:	Claims
	No:	Claims 2-4, 10-13, 15-17, 23-25
Industrial applicability (IA)	Yes:	Claims 1-4, 10-17, 23-28
	No:	Claims

**2. Citations and explanations**

**see separate sheet**

**VI. Certain documents cited**

**1. Certain published documents (Rule 70.10)**

and / or

**2. Non-written disclosures (Rule 70.9)**

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/01637

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/01637

The following documents are referred to in this communication; the numbering will be adhered to in the rest of the procedure:

- D1: EP-A-0 840 194 (MATSUSHITA ELECTRIC IND CO LTD) 6 May 1998 (1998-05-06)
- D2: EUROPEAN BROADCASTING UNION: 'digital broadcasting systems for television, sound and data services; specification for service information (SI) in digital broadcasting (DVB) systems' October 1995 (1995-10), EUROPEAN TELECOMMUNICATION STANDARD, EUROPEAN TELECOMMUNICATIONS STANDARDS INSTITUTE XP002079535
- D3: WO 97 46009 A (THOMSON CONSUMER ELECTRONICS) 4 December 1997 (1997-12-04)
- D4: EP-A-0 854 650 (NOKIA TECHNOLOGY GMBH) 22 July 1998 (1998-07-22)
- D5: WO 97 24832 A (SCIENTIFIC ATLANTA) 10 July 1997 (1997-07-10)
- D6: WO 99 15968 A (WORLDGATE COMMUNICATIONS INC) 1 April 1999 (1999-04-01)
- D7: EP-A-0 946 019 (CANAL PLUS SA) 29 September 1999 (1999-09-29)
- D8: TEN KATE W. ET AL: 'trigg&link: a new dimension in television program making' MULTIMEDIA APPLICATIONS, SERVICES AND TECHNIQUES - ECMAST'97, 21 - 23 May 1997, pages 51-65, XP002094655 Milan, Italy

**Re Item III**

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

With respect to claims 29, 30 and 36 to 41 it is noted that it is totally unclear which features are to be comprised in the claims, since the formulation of these claims is considered to be far too vague.

**Re Item V**

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/01637

1. Claims 1, 14, 26 - 28:

As to claim 1, document D1 discloses a method of transmission of application data (see fig. 2) in a plurality of services in a digital transport stream, each of said plurality of services carrying at least one application (21), the method comprising the step of providing an application data table (20) containing information regarding said at least one application (see application identifier 1, 2, reference 29 in fig. 3) carried by each of a plurality of the services within the transport stream.

Since all features of claim 1 are considered to be disclosed by document D1, the requirements with respect to novelty (Art. 33(2) PCT) are not met for present claim 1. The objection raised with respect to method claim 1 likewise applies against transmission apparatus claim 14, digital television system claim 26 and decoder claims 27 and 28.

2. The additional features of the dependent claims 2-4, 10-13, 15-17 and 23-25 are either known from the above cited prior art documents of the International Search Report or generally known in this technical field and the inclusion of such features is regarded as part of the customary practice the skilled person would consider in accordance with circumstances. Hence, the subject-matter of claims 2-4, 10-13, 15-17 and 23-25 is not considered to be novel or does not involve an inventive step as required by Articles 33(2) and (3) PCT.

E.g.:

Claim 2: packet IDs, see D2, pg. 14, par. 5.1.3: "Coding of PID and talbe\_id fields"

Claim 3: provider identifier 26 in fig. 3 of D1

Claim 4: programme map table, see pg. 3, lines 20 to 30 in D3

3. Claims 1-4, 10-17, 23-28 have industrial applicability as required in accordance with Art. 33(4) PCT since the subject-matter claimed can be made or used in industry.

**Re Item VI**

Certain documents cited

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/01637

Documents D6 and D7 are published after the priority date of the present application.  
The validity of the priority claimed has not been checked.

**Re Item VII**

Certain defects in the international application

The independent apparatus claims are not drafted in the correct two-part form with all the features of the closest prior art document (at present document D1) being comprised in the generic part of the claim (Rule 6.3(b)(i) PCT) and with the remaining features being included in a characterising part (Rule 6.3(b)(ii) PCT).

**Re Item VIII**

Certain observations on the international application

1. For the following reasons claims 1, 14, 26-28 do not meet the conciseness requirements of Article 6 PCT:

Although these claims have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter or at least having overlapping scope and differ from each other only with regard to the definition of the subject-matter for which protection is sought. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection. In this case it appears that one independent method claim and one independent apparatus claim would be sufficient.

2. Clarity (Art. 6 PCT) of claims 14 and 27:

It is not clear which features are actually comprised in claim 14, since in present apparatus claim 14 it is referred to the method steps of claims 1 to 13. The objection likewise applies against claim 27. Therefore, claims 14 and 27 are not considered to be clear in the sense of Art. 6 PCT.

# PATENT COOPERATION TREATY

From the:  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

COZENS,PAUL D.  
MATHYS & SQUIRE  
100 Gray's Inn Road  
London WC1X 8AL  
GRANDE BRETAGNE

**RECEIVED**  
MATHYS & SQUIRE

29 AUG 2000

REPLY DATE 24/11/00  
Reply Written Opin  
DIARY ENTERED 12

PCT

WRITTEN OPINION

(PCT Rule 66)

POV OA - 2419

Date of mailing (day/month/year)	24.08.2000
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Applicant's or agent's file reference  PDC/AB/20922	REPLY DUE	within 3 month(s) from the above date of mailing
International application No.  PCT/IB99/01637	International filing date (day/month/year)  24/09/1999	Priority date (day/month/year)  25/09/1998
International Patent Classification (IPC) or both national classification and IPC  H04N5/00		
Applicant  CANAL+ SOCIETE ANONYME et al.		

1. This written opinion is the **first** drawn up by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I     Basis of the opinion
- II    Priority
- III    Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV    Lack of unity of invention
- V    Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI    Certain document cited
- VII    Certain defects in the international application
- VIII    Certain observations on the international application

3. The applicant is hereby **invited to reply** to this opinion.

**When?** See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).

**How?** By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

**Also:** For an additional opportunity to submit amendments, see Rule 66.4. For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis. For an informal communication with the examiner, see Rule 66.6.

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 25/01/2001.

Name and mailing address of the international preliminary examining authority:   European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer / Examiner  Revellio, S
	Formalities officer (incl. extension of time limits)  SCHALINATUS, D Telephone No. +49 89 2399 8242



**I. Basis of the opinion**

1. This opinion has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed"*):

**Description, pages:**

1-33                   as originally filed

**Claims, No.:**

1-41                   as originally filed

**Drawings, sheets:**

1/7-7/7               as originally filed

2. The amendments have resulted in the cancellation of:

- the description,      pages:  
 the claims,           Nos.:  
 the drawings,         sheets:

3. This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been and will not be examined in respect of:

- the entire international application,  
 claims Nos. 5-9, 18-22, 29-41,

because:

- the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

- the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 29, 30, 36-41 are so unclear that no meaningful opinion could be formed (*specify*):  
**see separate sheet**
- the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- no international search report has been established for the said claims Nos. 5-9, 18-22, 31-35.

**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Claims 1, 14, 26-28
Inventive step (IS)	Claims 2-4, 10-13, 15-17, 23-25
Industrial applicability (IA)	Claims

2. Citations and explanations

**see separate sheet**

**VI. Certain documents cited**

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

The following documents are referred to in this communication; the numbering will be adhered to in the rest of the procedure:

- D1: EP-A-0 840 194 (MATSUSHITA ELECTRIC IND CO LTD) 6 May 1998 (1998-05-06)
- D2: EUROPEAN BROADCASTING UNION: 'digital broadcasting systems for television, sound and data services; specification for service information (SI) in digital broadcasting (DVB) systems' October 1995 (1995-10), EUROPEAN TELECOMMUNICATION STANDARD, EUROPEAN TELECOMMUNICATIONS STANDARDS INSTITUTE XP002079535
- D3: WO 97 46009 A (THOMSON CONSUMER ELECTRONICS) 4 December 1997 (1997-12-04)
- D4: EP-A-0 854 650 (NOKIA TECHNOLOGY GMBH) 22 July 1998 (1998-07-22)
- D5: WO 97 24832 A (SCIENTIFIC ATLANTA) 10 July 1997 (1997-07-10)
- D6: WO 99 15968 A (WORLDPAGE COMMUNICATIONS INC) 1 April 1999 (1999-04-01)
- D7: EP-A-0 946 019 (CANAL PLUS SA) 29 September 1999 (1999-09-29)
- D8: TEN KATE W. ET AL: 'trigg&link: a new dimension in television program making' MULTIMEDIA APPLICATIONS, SERVICES AND TECHNIQUES - ECMAST'97, 21 - 23 May 1997, pages 51-65, XP002094655 Milan, Italy

**Re Item III**

**Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

With respect to claims 29, 30 and 36 to 41 it is noted that it is totally unclear which features are to be comprised in the claims, since the formulation of these claims is considered to be far too vague.

**Re Item V**

**Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**WRITTEN OPINION  
SEPARATE SHEET**

International application No. PCT/IB99/01637

1. Claims 1, 14, 26 - 28:

As to claim 1, document D1 discloses a method of transmission of application data (see fig. 2) in a plurality of services in a digital transport stream, each of said plurality of services carrying at least one application (21), the method comprising the step of providing an application data table (20) containing information regarding said at least one application (see application identifier 1, 2, reference 29 in fig. 3) carried by each of a plurality of the services within the transport stream.

Since all features of claim 1 are considered to be disclosed by document D1, the requirements with respect to novelty (Art. 33(2) PCT) are not met for present claim 1. The objection raised with respect to method claim 1 likewise applies against transmission apparatus claim 14, digital television system claim 26 and decoder claims 27 and 28.

2. The additional features of the dependent claims 2-4, 10-13, 15-17 and 23-25 are either known from the above cited prior art documents of the International Search Report or generally known in this technical field and the inclusion of such features is regarded as part of the customary practice the skilled person would consider in accordance with circumstances.

Hence, the subject-matter of claims 2-4, 10-13, 15-17 and 23-25 is not considered to be novel or does not involve an inventive step as required by Articles 33(2) and (3) PCT.

E.g.:

Claim 2: packet IDs, see D2, pg. 14, par. 5.1.3: "Coding of PID and talbe\_id fields"

Claim 3: provider identifier 26 in fig. 3 of D1

Claim 4: programme map table, see pg. 3, lines 20 to 30 in D3

**Re Item VI**

**Certain documents cited**

Documents D6 and D7 are published after the priority date of the present application. The validity of the priority claimed has not been checked.

**Re Item VII**

**Certain defects in the international application**

When a new set of claims is filed the applicants should ensure that at least the independent apparatus claim is drafted in the correct two-part form with all the features of the closest prior art document (at present document D1) being comprised in the generic part of the claim (Rule 6.3(b)(i) PCT) and with the remaining features being included in a characterising part (Rule 6.3(b)(ii) PCT).

**Re Item VIII**

**Certain observations on the international application**

1. For the following reasons claims 1, 14, 26-28 do not meet the conciseness requirements of Article 6 PCT:

Although these claims have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter or at least having overlapping scope and differ from each other only with regard to the definition of the subject-matter for which protection is sought. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.

In this case it appears that one independent method claim and one independent apparatus claim would be sufficient.

2. Clarity (Art. 6 PCT):

Claims 14 and 27:

It is not clear which features are actually comprised in claim 14, since in present apparatus claim 14 it is referred to the method steps of claims 1 to 13. The objection likewise applies against claim 27.

Therefore, claims 14 and 27 in their present form cannot be allowed because of lack of clarity (Art. 6 PCT).

**Miscellaneous:**

In order to meet the objections set out above , the Applicant is invited to file a set of claims preferably including a single independent claim of each category defining the subject-matter for which protection is sought in the broadest sense. In particular, the features representing the alleged contribution of inventive significance to the art known from the documents cited in the International Search Report should be clearly and completely set out in each independent claim on file.

The Applicant is requested to file amendments by way of replacement pages in the manner stipulated by Rule 66.8(a) PCT. In particular, fair copies of the amendments should be filed preferably in triplicate.

In order to facilitate the examination of the conformity of the amended application with the requirements of Article 34(2)(b) PCT, the Applicant is invited to clearly identify the amendments carried out, no matter whether they concern amendments by addition, replacement or deletion, and to indicate the passages of the application as filed on which these amendments are based (see also Rule 66.8(a) PCT).

If the Applicant regards it as appropriate these indications could be submitted in handwritten form on a copy of the relevant parts of the application as filed.

The Applicant's attention is drawn to the fact that, as a consequence of Rule 66.8(a) PCT the examiner is not permitted to carry out any amendments under the PCT procedure, however minor these may be.



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Patentamt

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Patent Office

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des brevets

Direction Générale 2

## Correspondence with the EPO on PCT Chapter II demands

In order to ensure that your PCT Chapter II demand is dealt with as promptly as possible you are requested to use the enclosed self-adhesive labels with any correspondence relating to the demand sent to the Munich Office.

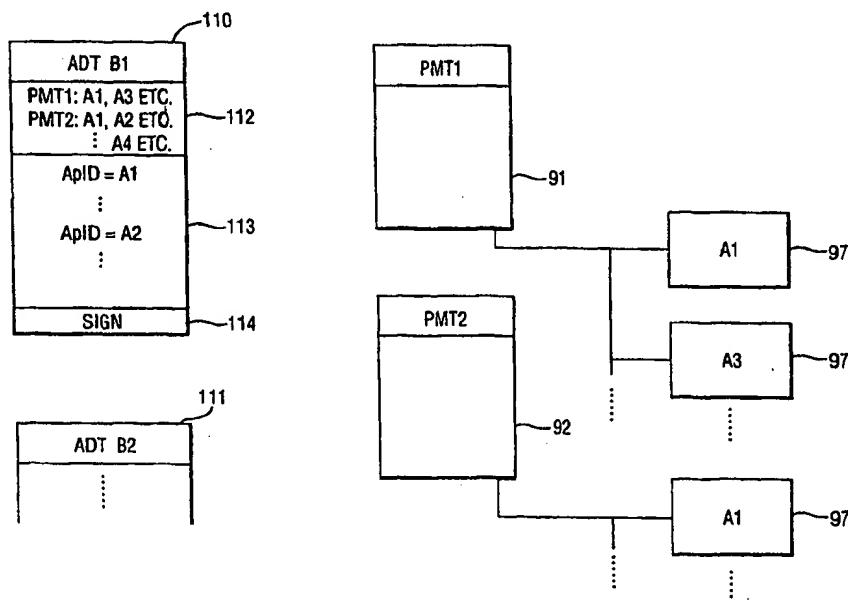
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(54) Title: APPLICATION DATA TABLE FOR A MULTISERVICE DIGITAL TRANSMISSION SYSTEM



## (57) Abstract

A method of transmission of application data (97) in a digital transport stream characterised in providing an application data table (110) containing information regarding the applications (97) carried in each service (91, 92) within the transport stream. The application data table (110) may conveniently be designated by a fixed PID value and a TID extension value varying in dependence on the bouquet of services chosen. The use of a single application data table to provide information across all services within a bouquet provides a number of advantages, in particular when deciding whether or not to maintain certain applications when switching between services.

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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB 99/01637

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-4,10-17,23-30,36-41

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

APPLICATION DATA TABLE FOR A MULTISERVICE DIGITAL  
TRANSMISSION SYSTEM

The present invention relates to a digital transmission system, in particular a digital  
5 television system.

Existing digital television systems transmit data in the form of discrete transport  
stream packets or transport packets, each packet being of a predetermined length and  
containing a header and a payload. The MPEG-2 standard is the currently favoured  
10 standard in this domain and sets out a predetermined format for such packets.

The packet header comprises general descriptive data regarding the packet, whilst the  
payload comprises the data to be processed at the receiver. The packet header  
includes at least a packet ID or PID identifying the packet. The payload of the packet  
15 may contain audio, video or other data such as conditional access system data or, in  
particular, application data used by the decoder to set up interactive or other  
applications. Data within a PID packet may further be divided into a number of tables  
or sections, identified by a table ID or TID value and, in a yet further precision, a TID  
extension value.

20 Data in a conventional transport stream is organised as follows. At the highest level,  
a programme access table or PAT table lists the PID values of one or more  
programme map tables or PMT tables, each PMT table being associated with a service  
within the transport stream. The PMT table in turn refers to the PID values of the  
25 packets containing the audio data, video data, application data etc. for that service.  
As will be understood, whilst a service may be considered as corresponding loosely  
to a television channel, the concept of a service is somewhat broader, since a service  
may contain multiple audio and/or visual data streams, only application data etc.

30 Conventionally, each service operates more or less independently and contains all  
applications needed by that service. This may include applications specifically linked  
to the programme being broadcast on that service (for example, a football application

associated with a match shown on that channel) as well as more general applications, such as start-up applications or the like. The former type of applications may be accessed via only one or a small number of services, whilst the latter may be carried on all services.

5

Information regarding the applications carried on a service, including the version number of the application, the memory space required by an application etc., is usually included in the PMT table at the entry point of the service.

- 10      A particular problem arises with this conventional organisation of data when changing between services. As described above, each service contains all applications required by that service together with a table of information regarding these applications. Upon selection of a service, a conventionally configured decoder is obliged to download the PMT table and evaluate the content of this table before taking any decision regarding currently running applications. In view of the time normally required to download and analyse a PMT table this may prove a cumbersome operation. Furthermore, the flexibility of operation of the decoder is considerably limited with regard to evaluation of application priority etc.
- 15
- 20      It is an object of the present invention, in its broadest and/or specific realisations, to provide a solution to this problem.

According to the present invention, there is provided a method of transmission of application data in a plurality of services in a digital transport stream, each of said plurality of services carrying at least one application, the method comprising the step of providing an application data table containing information regarding said at least one application carried by each of a plurality of the services within the transport stream.

- 25
- 30      In other words, the present invention provides a method of transmission of application data in a plurality of services in a digital transport stream characterised in providing an application data table containing information regarding the application or

applications carried by each of a plurality of services within the transport stream.

The use of a single table, the application data table or "ADT", containing information regarding application data across a plurality of services enables a decoder to define its  
5 operation in relation to such applications according to a number of different factors.

For example, in the case of an application uniquely carried by one service, a decoder  
may decide, based on the information regarding this application contained in the  
application data table to maintain the application even when switching to a service not  
10 containing this application. The sort of information that may be used in such an  
evaluation will be described in more detail below.

The application data table may be advantageously transported in a transport packet having a predetermined packet ID, or PID, value associated with the presence of an  
15 application data table within the packet.

Use of a fixed value PID table to carry the data enables all decoders to be pre-programmed to quickly locate and download this table, before accessing any service. As will be understood, the application data table may nevertheless be communicated  
20 to or introduced in the decoder by other means, for example, via a modem link, smart card etc. Similarly, the ADT table may also be accessed by PID references in other tables, such as the PMT tables of the services in question.

Typically, one commercial operator is usually responsible for the content of a plurality  
25 of service channels, these channels being grouped together as a bouquet of services. A given transport stream often contains a number of bouquets of services each managed by a different operator. Whilst each operator is fully informed of the applications provided over the services within his bouquet, this information is for obvious reasons not usually available to other operators.

30

Preferably, therefore, the method may further comprise providing a plurality of application data tables, each application data table containing information regarding

applications contained within a bouquet of services.

In an alternative realisation, the creation of a "super" ADT table providing information on applications across a number of bouquets may be envisaged. However, in view of  
5 the problems in communicating information between operators, this solution may be difficult to put into practice.

In the embodiment using a number of application data tables, each application data table may be conveniently transported in a table or section within a transport packet,  
10 each application data table being associated with a table or section having a characteristic table ID or, preferably, table ID extension value.

In the case where a number of ADT tables are carried within the transport stream this provides a particularly convenient way for a decoder to identify the ADT table  
15 associated with the bouquet of services to which the user is subscribed. The TID extension value may be contained, for example, in the information communicated to the decoder by the subscription card associated with the bouquet in question. Alternatively, the decoder may maintain a table of TID extension values associated with the various bouquet of services that may be received by the decoder.

20

In a preferred optional embodiment, the or each application data table is electronically signed so as to permit a decoder to verify an application data table as originating from a known operator. Authentication or signature of data in this manner can be carried out by any known method, for example, by a combined hash and public key/private  
25 key algorithm to provide an electronic signature.

In a further preferred embodiment, each service further comprises a programme map table or PMT table giving access to applications carried by this service, the programme map table itself comprising information regarding the or each application carried by  
30 this service.

For example, in an embodiment where data for an application is carried within a data

carousel accessed via a service, the PMT may include information regarding the carousel address of modules of the application.

5 In a particularly preferred embodiment, the application data table further comprises information regarding which applications may be carried in each service, for example in the form of a list of services with the applications that may be accessed at any time via each service. This list will normally be dynamic and will change according to the applications currently referred to by a service.

10 In one embodiment, the application information carried in the application data table further includes information relating to the size of memory required to execute an application.

15 Additional information may include a priority value indicating the relative priority of an application, a service exclusive value indicating that an application is exclusive to one or more services, a flag value concerning the action to be taken with an application upon a change of service, a data carousel ID value association with the application etc. For further information regarding data that may be carried in the ADT table, the reader is referred to the description of the preferred embodiment.

20

As will be understood, this list is by no means exhaustive and any number of other factors may be used as well as or instead of those listed.

25 Preferably, the digital transmission system comprises a digital television system, in particular adapted to function according to the MPEG standard.

30 The invention has been described above in relation to a method of transmission of digital data. The invention further extends to transmission apparatus for use in a method as aforementioned, said apparatus comprising means, such as a transmitter, for transmitting a transport stream comprising a plurality of services together with an application data table containing information regarding applications carried by a plurality of the services within the transport stream.

The transmitting means may be adapted to transmit the application data table in a transport packet having a predetermined packet ID value associated with the presence of an application data table within the packet.

- 5 The apparatus may comprise means, such as a ciphering unit, for electronically signing said application data table so as to permit a decoder to verify an application data table as originating from a known operator.

The transmitting means may be adapted to transmit for each service a programme map table giving access to applications carried by that service, the programme map table itself comprising information regarding said at least one application carried by this service.

The invention further extends to a decoder for use in a method as aforementioned, said decoder comprising a memory for storing an application data table comprising information regarding applications carried by a plurality of services within the transport stream, and means, such as a controller, for controlling at least one of the downloading and maintenance of such applications in dependence on the information contained within the application data table.

20 The invention also extends to a decoder comprising a memory for storing an application data table comprising information regarding applications carried by a plurality of services within the transport stream, and means for controlling at least one of the downloading and maintenance of such applications in dependence on the information contained within the application data table. Thus, the application data table may be resident in the memory of the decoder without having been broadcast in a transport stream to the decoder by a transmitter.

30 The invention also provides an application data table containing information regarding at least one application carried by each of a plurality of services within a transport stream.

Features described above relating to method aspects of the present invention can also be applied to device aspects, and vice versa.

As used herein, the term "digital transmission system" includes any transmission system for transmitting or broadcasting for example primarily audiovisual or multimedia digital data. Whilst the present invention is particularly applicable to a broadcast digital television system, the invention may also be applicable to a fixed telecommunications network for multimedia internet applications, to a closed circuit television system, and so on.

10

As used herein, the term "digital television system" includes for example any satellite, terrestrial, cable or other system.

The term "receiver/decoder" or "decoder" used herein may connote a receiver for receiving either encoded or non-encoded signals, for example, television and/or radio signals, which may be broadcast or transmitted by some other means. The term may also connote a decoder for decoding received signals. Embodiments of such receiver/decoders may include a decoder integral with the receiver for decoding the received signals, for example, in a "set-top box", a decoder functioning in combination with a physically separate receiver, a decoder including additional functions, such as a web browser, or a decoder integrated with other devices such as a video recorder or a television.

Various functions of the receiver/decoder may be implemented in hardware, for example in a dedicated integrated circuit; this may provide enhanced speed of operation. Preferably, however, at least some of the functions are implemented in software, preferably implemented by processing means which runs the applications; this can allow greater flexibility, require less components, and allow the receiver/decoder to be updated more readily.

30

The term MPEG refers to the data transmission standards developed by the International Standards Organisation working group "Motion Pictures Expert Group"

and in particular but not exclusively the MPEG-2 standard developed for digital television applications and set out in the documents ISO 13818-1, ISO 13818-2, ISO 13818-3 and ISO 13818-4. In the context of the present patent application, the term includes all variants, modifications or developments of MPEG formats applicable to 5 the field of digital data transmission.

There will now be described, by way of example only, a preferred embodiment of the invention, with reference to the following figures, in which:

10 Figure 1 shows the overall architecture of a digital TV system according to this embodiment;

Figure 2 shows the architecture of the conditional access system of Figure 1;

15 Figure 3 shows the elements of a receiver/decoder for use in this embodiment;

Figure 4 shows the software architecture of the decoder used in this embodiment;

Figure 5 shows the architecture of the virtual machine within the system of Figure 4;

20

Figure 6 shows the hierarchy of packets for various services in the transmission transport stream; and

25

Figure 7 shows the use of an application description table in relation to applications provided in a bouquet of services.

30

An overview of a digital television broadcast and reception system 1 is shown in Figure 1. The invention includes a mostly conventional digital television system 2 which uses the MPEG-2 compression system to transmit compressed digital signals. In more detail, MPEG-2 compressor 3 in a broadcast centre receives a digital signal stream (for example a stream of audio or video signals). The compressor 3 is connected to a multiplexer and scrambler 4 by linkage 5. The multiplexer 4 receives

a plurality of further input signals, assembles one or more transport streams and transmits compressed digital signals to a transmitter 6 of the broadcast centre via linkage 7, which can of course take a wide variety of forms including telecom links.

- 5      The transmitter 6 transmits electromagnetic signals via uplink 8 towards a satellite transponder 9, where they are electronically processed and broadcast via a notional downlink 10 to earth receiver 11, conventionally in the form of a dish owned or rented by the end user. The signals received by receiver 11 are transmitted to an integrated receiver/decoder 12 owned or rented by the end user and connected to the end user's  
10     television set 13. The receiver/decoder 12 decodes the compressed MPEG-2 signal into a television signal for the television set 13.

- A conditional access system 20 is connected to the multiplexer 4 and the receiver/decoder 12, and is located partly in the broadcast centre and partly in the  
15     decoder. It enables the end user to access digital television broadcasts from one or more broadcast suppliers. A smartcard, capable of decrypting messages relating to commercial offers (that is, one or several television programmes sold by the broadcast supplier), can be inserted into the receiver/decoder 12. Using the decoder 12 and smartcard, the end user may purchase events in either a subscription mode or a pay-per-view mode.  
20

- An interactive system 17, also connected to the multiplexer 4 and the receiver/decoder 12 and again located partly in the broadcast centre and partly in the decoder, may be provided to enable the end user to interact with various applications via a modemmed back channel 16.  
25

The conditional access system 20 will now be described in more detail.

- With reference to Figure 2, in overview the conditional access system 20 includes a  
30     Subscriber Authorization System (SAS) 21. The SAS 21 is connected to one or more Subscriber Management Systems (SMS) 22, one SMS for each broadcast supplier, by a respective TCP-IP linkage 23 (although other types of linkage could alternatively be

-10-

used). Alternatively, one SMS could be shared between two broadcast suppliers, or one supplier could use two SMSs, and so on.

First encrypting units in the form of ciphering units 24 utilising "mother" smartcards 25 are connected to the SAS by linkage 26. Second encrypting units again in the form of ciphering units 27 utilising mother smartcards 28 are connected to the multiplexer 4 by linkage 29. The receiver/decoder 12 receives a "daughter" smartcard 30. It is connected directly to the SAS 21 by Communications Servers 31 via the modemmed back channel 16. The SAS sends, amongst other things, subscription rights to the daughter smartcard on request.

The smartcards contain the secrets of one or more commercial operators. The "mother" smartcard encrypts different kinds of messages and the "daughter" smartcards decrypt the messages, if they have the rights to do so.

15 The first and second ciphering units 24 and 27 comprise a rack, an electronic VME card with software stored on an EEPROM, up to 20 electronic cards and one smartcard 25 and 28 respectively, for each electronic card, one card 28 for encrypting the ECMS and one card 25 for encrypting the EMMS.

20 The operation of the conditional access system 20 of the digital television system will now be described in more detail with reference to the various components of the television system 2 and the conditional access system 20.

25 **Multiplexer and Scrambler**

With reference to Figures 1 and 2, in the broadcast centre, the digital audio or video signal is first compressed (or bit rate reduced), using the MPEG-2 compressor 3. This compressed signal is then transmitted to the multiplexer and scrambler 4 via the linkage 5 in order to be multiplexed with other data, such as other compressed data.

The scrambler generates a control word used in the scrambling process and included

in the MPEG-2 stream in the multiplexer. The control word is generated internally and enables the end user's integrated receiver/decoder 12 to descramble the programme.

5 Access criteria, indicating how the programme is commercialised, are also added to the MPEG-2 stream. The programme may be commercialised in either one of a number of "subscription" modes and/or one of a number of "Pay Per View" (PPV) modes or events. In the subscription mode, the end user subscribes to one or more commercial offers, or "bouquets", thus getting the rights to watch every channel inside  
10 those bouquets. In the preferred embodiment, up to 960 commercial offers may be selected from a bouquet of channels.

In the Pay Per View mode, the end user is provided with the capability to purchase events as he wishes. This can be achieved by either pre-booking the event in advance  
15 ("pre-book mode"), or by purchasing the event as soon as it is broadcast ("impulse mode"). In the preferred embodiment, all users are subscribers, whether or not they watch in subscription or PPV mode, but of course PPV viewers need not necessarily be subscribers.

20 **Entitlement Control Messages (ECMs)**

Both the control word and the access criteria are used to build an Entitlement Control Message (ECM). This is a message sent in relation with a scrambled program; the message contains a control word (which allows for the descrambling of the program)  
25 and the access criteria of the broadcast program. The access criteria and control word are transmitted to the second encrypting unit 27 via the linkage 29. In this unit, an ECM is generated, encrypted and transmitted on to the multiplexer and scrambler 4. During a broadcast transmission, the control word typically changes every few seconds, and so ECMs are also periodically transmitted to enable the changing control  
30 word to be descrambled. For redundancy purposes, each ECM typically includes two control words; the present control word and the next control word.

Each service broadcast by a broadcast supplier in a data stream comprises a number of distinct components; for example a television programme includes a video component, an audio component, a sub-title component and so on. Each of these components of a service is individually scrambled and encrypted for subsequent broadcast to the transponder 9. In respect of each scrambled component of the service, a separate ECM is required. Alternatively, a single ECM may be required for all of the scrambled components of a service. Multiple ECMs are also generated in the case where multiple conditional access systems control access to the same transmitted program.

10

#### Programme Transmission

The multiplexer 4 receives electrical signals comprising encrypted EMMs from the SAS 21, encrypted ECMs from the second encrypting unit 27 and compressed programmes from the compressor 3. The multiplexer 4 scrambles the programmes and sends the scrambled programmes, the encrypted EMMs and the encrypted ECMs to a transmitter 6 of the broadcast centre via the linkage 7. The transmitter 6 transmits electromagnetic signals towards the satellite transponder 9 via uplink 8.

20

#### Programme Reception

The satellite transponder 9 receives and processes the electromagnetic signals transmitted by the transmitter 6 and transmits the signals on to the earth receiver 11, conventionally in the form of a dish owned or rented by the end user, via downlink 10. The signals received by receiver 11 are transmitted to the integrated receiver/decoder 12 owned or rented by the end user and connected to the end user's television set 13. The receiver/decoder 12 demultiplexes the signals to obtain scrambled programmes with encrypted EMMs and encrypted ECMs.

30

If the programme is not scrambled, that is, no ECM has been transmitted with the MPEG-2 stream, the receiver/decoder 12 decompresses the data and transforms the signal into a video signal for transmission to television set 13.

If the programme is scrambled, the receiver/decoder 12 extracts the corresponding ECM from the MPEG-2 stream and passes the ECM to the "daughter" smartcard 30 of the end user. This slots into a housing in the receiver/decoder 12. The daughter smartcard 30 controls whether the end user has the right to decrypt the ECM and to access the programme. If not, a negative status is passed to the receiver/decoder 12 to indicate that the programme cannot be descrambled. If the end user does have the rights, the ECM is decrypted and the control word extracted. The decoder 12 can then descramble the programme using this control word. The MPEG-2 stream is decompressed and translated into a video signal for onward transmission to television set 13.

#### Entitlement Management Messages (EMMs)

The EMM is a message dedicated to an individual end user (subscriber), or a group of end users. Each group may contain a given number of end users. This organisation as a group aims at optimising the bandwidth; that is, access to one group can permit the reaching of a great number of end users.

Various specific types of EMM can be used. Individual EMMs are dedicated to individual subscribers, and are typically used in the provision of Pay Per View services; these contain the group identifier and the position of the subscriber in that group.

Group subscription EMMs are dedicated to groups of, say, 256 individual users, and are typically used in the administration of some subscription services. This EMM has a group identifier and a subscribers' group bitmap.

Audience EMMs are dedicated to entire audiences, and might for example be used by a particular operator to provide certain free services. An "audience" is the totality of subscribers having smartcards which bear the same conditional access system identifier (CA ID). Finally, a "unique" EMM is addressed to the unique identifier of the smartcard.

Subscriber Management System (SMS)

A Subscriber Management System (SMS) 22 includes a database 32 which manages, amongst others, all of the end user files, commercial offers, subscriptions, PPV details, 5 and data regarding end user consumption and authorization. The SMS may be physically remote from the SAS.

Each SMS 22 transmits messages to the SAS 21 via respective linkage 23 which imply modifications to or creations of Entitlement Management Messages (EMMs) to be 10 transmitted to end users.

The SMS 22 also transmits messages to the SAS 21 which imply no modifications or 15 creations of EMMs but imply only a change in an end user's state (relating to the authorization granted to the end user when ordering products or to the amount that the end user will be charged).

The SAS 21 sends messages (typically requesting information such as call-back 20 information or billing information) to the SMS 22, so that it will be apparent that communication between the two is two-way.

Subscriber Authorization System (SAS)

The messages generated by the SMS 22 are passed via linkage 23 to the Subscriber Authorization System (SAS) 21, which in turn generates messages acknowledging 25 receipt of the messages generated by the SMS 21 and passes these acknowledgements to the SMS 22.

In overview the SAS comprises a Subscription Chain area to give rights for subscription mode and to renew the rights automatically each month, a Pay Per View 30 Chain area to give rights for PPV events, and an EMM Injector for passing EMMs created by the Subscription and PPV chain areas to the multiplexer and scrambler 4, and hence to feed the MPEG stream with EMMs. If other rights are to be granted,

such as Pay Per File (PPF) rights in the case of downloading computer software to a user's Personal Computer, other similar areas are also provided.

One function of the SAS 21 is to manage the access rights to television programmes, 5 available as commercial offers in subscription mode or sold as PPV events according to different modes of commercialisation (pre-book mode, impulse mode). The SAS 21, according to those rights and to information received from the SMS 22, generates EMMs for the subscriber.

10 The EMMs are passed to the Ciphering Unit (CU) 24 for ciphering with respect to the management and exploitation keys. The CU completes the signature on the EMM and passes the EMM back to a Message Generator (MG) in the SAS 21, where a header is added. The EMMs are passed to a Message Emitter (ME) as complete EMMs. The 15 Message Generator determines the broadcast start and stop time and the rate of emission of the EMMs, and passes these as appropriate directions along with the EMMs to the Message Emitter. The MG only generates a given EMM once; it is the ME which performs cyclic transmission of the EMMs.

On generation of an EMM, the MG assigns a unique identifier to the EMM. When 20 the MG passes the EMM to the ME, it also passes the EMM ID. This enables identification of a particular EMM at both the MG and the ME.

In systems such as simulcrypt which are adapted to handle multiple conditional access 25 systems e.g. associated with multiple operators, EMM streams associated with each conditional access system are generated separately and multiplexed together by the multiplexer 4 prior to transmission.

#### Receiver/decoder

30 Referring to Figure 3, the elements of a receiver/decoder 12 or set-top box for use in a digital broadcast system and adapted to be used in the present invention will now be described. As will be understood, the basic elements of this decoder are largely

conventional and their implementation will be within the capabilities of one skilled in the art.

As shown, the decoder 12 is equipped with several interfaces for receiving and 5 transmitting data, in particular a tuner 40 for receiving broadcast MPEG transmissions, a serial interface 41, a parallel interface 42, and a modem 43 for sending and receiving data via the telephone network. The decoder also includes a first and second smart card reader 44 and 45, the first reader 44 for accepting a subscription smart card and the second reader 45 for accepting bank and/or other smart cards.

10

The decoder also includes a receiver 46 for receiving infra-red control signals from a handset remote control 47 and a Peritel output for sending audiovisual signals to a television 13 connected to the decoder.

15

Processing of digital signals received via the interfaces and generation of output signals is handled by an ensemble of hardware and software elements here grouped together as a central control unit 48.

20

The software architecture of the control unit within the decoder will be described below in relation to Figures 4 and 5. In broad terms, the system uses a virtual machine interacting via an interface layer with a lower level operating system implemented in the hardware components of the decoder. In terms of hardware architecture, the control unit 48 is equipped with a processor, memory elements such as ROM, RAM, FLASH memory etc. as in known decoders.

25

Applications processed by the control unit 48 may be resident applications stored in the ROM or FLASH of the decoder or applications broadcast and downloaded via the MPEG interface 2 of the decoder. Applications can include program guide applications, games, interactive services, teleshopping applications, as well as initiating applications to enable the decoder to be immediately operational upon start-up and applications for configuring aspects of the decoder. Applications are stored in memory locations in the decoder and represented as resource files comprising graphic object

descriptions files, unit files, variables block files, instruction sequence files, applications files, data files etc.

### Decoder System Architecture

5

Turning now to the software architecture of the system within the receiver/decoder as shown in Figure 4, it will be seen that a layered architecture is used. The first layer 51 represents the operating system of the hardware of the receiver/decoder. This is a real-time operating system chosen by the manufacturer to control the hardware elements of the receiver/decoder. The real-time operating system has a relatively fast response time in order to be able to correctly synchronise hardware operations. The data processing system sits on top of the hardware operating system and comprises a middleware layer 52 and an application interface layer 53.

- 15 Event messages are passed between the operating system layer 51 and the middleware layer 52 immediately above. The middleware layer is written in a language such as C ANSI and comprises the elements of a virtual machine 54 and a number of interfaces 55 including a graphical interface 56, a FLASH/PROM memory interface 57, a protocol interface 58 and a device interface 59.

20

The use of a virtual machine enables in particular to provide independence between upper level applications 66, 67 described in further detail below and usually provided by the system manager or one or more operators, and a lower level operating system 51, usually implemented by the hardware manufacturer of the decoder.

25

The interfaces 60 provide the link between operations of the virtual machine and the lower level operating system 51 and also include a number of intermediate level application modules more easily executed at this level.

30

The application interface (API) layer 53 comprises a number of high level packages 60-65, written in an object-oriented interpretative language, such as Java. These packages provide an interface between the high level applications generally created by

the service provider (interactive program guide, teleshopping, internet browser etc) and the virtual machine of the system. Examples of such applications are given below.

The lower level OS is normally embedded in the hardware components of the decoder,  
5 although in some realisations, the lower level OS can be downloaded. The middleware and application interface layer packages can be downloaded into the RAM or FLASH memory of the decoder from a broadcast transmission. Alternatively, some or all of the middleware or application interface layer elements can be stored in the ROM or (if present) FLASH memory of the decoder. As will be understood, the  
10 physical organisation of the memory elements of the decoder is distinct from the logical organisation of the memory.

#### Applications and Application Manager

15 As shown in Figure 4, a number of high level applications 66 sit on top of and communicate with lower levels in the system via the application interface layer 53. As will be described below, applications may originate from a variety of sources and/or operators. The overall control of such applications will be carried out by an application manager 67, itself installed as an application and responsible for managing  
20 the downloading of broadcast applications, the rights of certain applications to address and control lower layers of the system etc.

#### Application Interface Layer

25 Referring to the application interface layer 53 shown in Figure 3, and as described above, the packages in this layer are written in an object oriented language such as Java. Each package defines a set of class libraries called on during operation of the system. In the present system the following packages are installed.  
  
30 Lang/Util Package 60. These packages define the classes necessary for the manipulation of objects by the virtual machine. These class libraries normally form part of a standard library associated with the object oriented language chosen.

MHEG-5 Package 61. This package defines the classes associated with the manipulation of graphical objects on the television display. Such objects are distinct from audio-visual data and can make up, for example, channel identifiers or text laid over displayed images. The definition of classes within this package should respect  
5 the MHEG-5 norms defined by the standards ETS 300777-3 and ISO/ISE 13522-5 (and the standard ISO/ISE 13522-6 in the case of a Java implemented system).

Toolbox Package 62. This package contains the classes used for downloading and decompression of information as well as the classes associated with the management  
10 of the file system and memory within the receiver/decoder and the classes associated with the connection to the internet etc.

Device Package 63. This package defines the classes necessary for management of peripherals attached to the receiver/decoder, as discussed above and including the  
15 modem, the smart card readers, the MPEG flow tuner etc

Service Package 64. This package defines the classes necessary for the implementation of developing higher level interactive applications, such as management of credit card data etc.  
20

DSMCC-UU Package 65. This package implements the protocols necessary for communication between a client and a server for data file search and reading. Implementation of this package should respect the norm ISO/IEC 13818-6 and directives defined in DAVIC part 9.  
25

A further layer of interactive applications, written by the service provider and downloaded during broadcast as in conventional systems, will be laid over the interface packages defined above. Depending on the applications to be introduced, some of the above packages may be omitted. For example, if the service provider  
30 does not intend to provide a common way for data reading, the DSMCC-UU package may be left out of the final system.

The packages 53 provide class libraries for an object-oriented programming environment. Their class behaviour will depend on the language chosen. In the case of a Java application, for example, a single inheritance class structure will be adhered to.

5

### Interface Layer

As shown, the interface layer is composed of four modules, a graphics module 56, a memory file management module 57, a protocol module 58 and a device manager 59.

10 Whilst the modules at this level are described as interface modules their function is to provide a "glue" layer for the implementation of the application interface packages and for the operation of the virtual machine generally.

15 The graphics module 56, for example, provides the creation and management of graphical objects. It asks the low level OS to display basic graphic shapes such as single pixels, lines, rectangles etc. The implementation of this module depends on the graphics capability of the low level manufacturer's OS. In some ways complementary to the MHEG-5 package 4311, these functions may be more efficiently executed at this code level than in the high level code chosen for the application layer above.

20

In a similar manner, the memory file management module 57 includes low level read/write file commands associated with the memory components of the system. Typically, the hardware operating system only includes commands necessary to read/write a sector or page within a memory component. As with the graphics module 25 56, this module enables a set of simpler lower level applications to be efficiently introduced in the system.

30 The protocol management module 58 defines a library of communication protocols that may be called upon in communications via, for example, the TCP/IP layer of the decoder.

The device manager 59 is slightly different from the other modules in this layer in that

it provides the link or interface between the hardware operating system and the layers above, including the other modules in the interface layer and the virtual machine. Commands or event messages that are received/sent to the hardware OS from the virtual machine, for example, are necessarily passed by the device manager for conversion according to the interface specifications between the two levels.

### Virtual Machine Description

Referring now to Figure 5, the structure of the virtual machine 54 used in the system 10 of the present invention will be described. The virtual machine used in the present invention is a pre-emptive multithread type machine. The general characteristics of such a machine are known in other contexts outside of the audio-visual and digital television fields and the following description will focus on those areas that are the most specific to the present application.

15

The virtual machine is composed of a number of elements, which interact broadly as shown in Figure 5.

20

The scheduler 70 composed of a thread manager service 71 and a monitor manager service 72 forms the heart of the multithread machine. The scheduler 70 orders the execution of threads created by applications externally of the virtual machine and those created by the virtual machine itself (e.g. a garbage collection thread).

25

The event manager 73 handles an event routing table and the lists of events subscribed to by the threads and centralises the dispatch of event treatments.

The memory manager 74 handles the allocation and disallocation of the memory zones within the system memory and also handles the removal from the memory of non-referenced objects (garbage collection).

30

The class manager 75 charges the classes of the application code downloaded in a broadcast signal, interacting with the security manager 80 to check the integrity of

downloaded code and with the file manager 76, which implements the applications.

The file manager 76 carries out the implementation of the system files and the handles the mechanism of downloading of interactive applications and data.

5

The security manager 80 handles the level of access permitted to downloaded applications, some applications having the ability to carry out more operations than others in relation to the file system.

10

The interpreter 77 comprising a bytecode interpretation service 78 and a "m-code" interpretation service 79 handles the interpretation of applications written in these two codes, bytecode being associated with Java applications and m-code being the name given to a proprietary code developed by the applicants.

15

As set out above, the decoder is adapted to implement and execute applications downloaded in transport packets and data tables from the transport stream broadcast by the satellite, cable or terrestrial system. There will now be described, with reference to Figure 6, the organisation of these and other such data tables within a conventional MPEG-2 datastream.

20

#### Organisation of Data Tables within the Transport Stream

25

As shown in Figure 6, the broadcast data transport stream contains a number of packets of standard format, including a programme association table 90 ("PAT"), the PID in the header of the packet being fixed by the MPEG-2 standard for this packet at a value of 0x00. The programme access table 90 provides the entry point for access to programme data and contains a table referring to the PID values of the programme map tables ("PMT") 91, 92 associated with a given service or channel within the stream. Each programme map table 91, 92 contains in turn a reference to the PID values of the packet streams of the audio tables 93 and video tables 94 associated with that service.

As shown, the programme map table 92 also contains references to the PID values of other packets 95, 96, 97 containing additional data relating to the service in question, in particular, ECM data generated by a number of conditional access systems and associated with the service in question as well as application data carried by this  
5 service.

In addition to the programme access table PAT 90, the MPEG transport stream further comprises a conditional access table 101 ("CAT"), the PID value of which is fixed at 0x01. Any packet headers containing this PID value are thus automatically identified  
10 as containing access control information. The CAT table 97 refers to the PID values of MPEG packets 98, 99, 100 referring to EMM data associated with one or more conditional access systems. As with the PMT packets, the PID values of the EMM packets referred to in the CAT table 101 are not fixed and may be determined at the choice of the system operator.  
15

The MPEG-2 standard specifies very few fixed PID values outside of the PAT table value and the CAT table value referred to above. The majority of PID values within a certain range may therefore be determined by an operator. As will be described in greater detail below, the present embodiment of the invention proposes a fixed PID  
20 value to be assigned to a table containing data relating to applications carried in a number of services and bouquets.

#### Format of Transport Packets and Private Section Data

25 As is known, MPEG transport packets are of a fixed length of 188 bytes including a header. In a standard packet, the three bytes of the header following the synchronisation data comprise:

TABLE I	Transport error indicator	1 bit
30	Payload unit indicator	1 bit
	Transport priority	1 bit
	PID	13 bits

Transport scrambling control	2 bits
Adaptation field control	2 bits
Continuity counter	4 bits

5 The characteristics of these fields are largely determined by the MPEG standard.

The above describes the format of the header of a transport packet. In conformity with the MPEG-2 standard, information contained with a packet payload is subject to a further level of structure according to the type of data being transported. In the case 10 of audio, visual, teletext, subtitle or other such rapidly evolving and synchronised data, the information is assembled in the form of what is known as a packetised elementary stream or PES. This data stream, which is formed by assembling the payloads of the transmitted packets, itself comprises a sequence of packets, each packet comprising a packet header and payload. Unlike the transmitted packets in the transport stream, the 15 length of PES packets is variable.

In the case of some other types of data, such as application data or ECM and EMM data, a different format from PES packeting is proscribed. In particular, data contained in the transport packet payload is divided into a series of sections or tables, 20 the table or section header including a table ID or TID identifying the table in question. Depending on the size of the data, a section may be contained entirely within a packet payload or may be extended in a series of tables over a number of transport packets. In the MPEG-2 context, the term "table" is often used to refer to a single table of data, whilst "section" usually refers to one of a plurality of tables 25 with the same TID value.

The actual TID values used to refer to information carried in these tables or sections are not fixed by the MPEG-2 standard and may be defined at the discretion of the operator of a service or bouquet of services.

30 As with transport packet data and PES packet data, the data structure or syntax of a table or section is nevertheless additionally defined by the MPEG-2 standard. Two

possible syntax forms for private table or section data are proposed; a long form or a short form.

5 In both the short and long form, the header of a private table includes at least the data comprising:

TABLE II	Table id	8 bits
	Section syntax indicator	1 bit
	Private indicator/reserved	1 bit
10	ISO reserved	2 bits
	Section length	12 bits

15 The private indicator and private section lengths are comprised of data not fixed by the MPEG-2 standard and which may be used by the system operator for his own purposes. For further information regarding table syntax, the reader is referred to the MPEG-2 standard.

Applications accessed via one or more PMT tables

20 As will be understood from the above, each PMT table defines a particular service or channel and the information available on this service. Within a given service, for example, a plurality of audio and video streams may be carried, for example, to enable a viewer to watch a sporting event broadcast on that service from a number of different angles.

25 The service may also contain applications downloaded and executed by the decoder, for example, such as an interactive shopping application or an interactive meteorological chart. The number and type of applications carried in the service and accessed via its PMT table can vary greatly. In the case of a dedicated weather 30 channel, for example, the majority of the data carried by the channel may relate to an application executed by the decoder such that there is, for example, no real-time video data carried by this service.

In a bouquet of services, some applications such as a start-up application may be carried by all services whilst some applications may be exclusive to one service, for example, an application containing information relating directly to a programme being shown only on that service.

5

Conventionally, all data regarding the applications carried by a given service is contained in the relevant PMT table for that service. Each PMT table carries information on the complete set of applications used by that service and provides the point of access to these applications.

10

Upon selection of a service, the application managers of conventional systems execute a predetermined sequence of decisions with regard to the applications carried in the service and, if already tuned to a service, those applications currently running in the decoder. Applications that are not already present in the decoder but which are contained in the new service are downloaded from the service. If a more recent version to that running in the decoder is carried in the service, this is downloaded and the older version deleted. Applications which are running and which are listed in the new service in the same (or an older version) are maintained. Applications that are not listed in the new service but that are currently running are deleted.

15

This latter operation of the application manager found in conventional decoder systems can in particular lead to a number of problems. In the case, for example, where a user changes from one channel to another and back again, an application may be deleted and then re-installed. As will be understood, installation of an application can take some time depending on the size of the application and the available memory in the decoder.

20

Furthermore, upon each change of channel, the decoder is required to download and analyse the PMT table data before having sufficient information to carry out any action regarding applications to be downloaded or currently running. This may take some time. As mentioned above, each service is completely independent and includes all applications necessary to the operation of the service and the information regarding

such applications is carried in the PMT table of that service.

In such a context, the case of applications currently running in the decoder and that are not listed in the PMT table of the new service poses a problem, since the application manager has no information regarding which of the currently running applications may be maintained with impunity upon changing to this service, and which need to be deleted. Most current systems act simply to delete currently running applications to permit downloading of new applications.

- 10 Referring to Figure 7, there will now be defined a data format for tables and sections in the MPEG transport stream which enables the problems of the known systems to be overcome.

#### Application Description Table

15 As shown in Figure 7, the transport stream includes, in addition to the PMT1 and PMT2 tables 91, 92 used to define the data contained in a first and second service, an application description table or tables 110, 111 for each available bouquet of services. ADT B1 designates the table for a first bouquet of services, ADT B2 the table for a second bouquet etc.

25 In a similar manner to the PAT and CAT tables, the PID value of an ADT table is fixed at a value not presently reserved or prohibited by the MPEG-2 standard. All application description or ADT tables in all service bouquets are referred to by this PID value and, preferably, a fixed TID value. In order to permit different ADT tables for different service bouquets, a specific TID extension value is assigned to each ADT table associated with a bouquet of services. These TID extension values do not need to be fixed and may be decided by common agreement between the operators of each bouquet.

30 As will be understood, whilst the present embodiment of the invention uses an ADT table per bouquet of services, the concept may be generalised to the use of a single

global ADT table covering all services across all bouquets. In view of the differences between operators running each bouquet of services, this may be difficult to implement, since it would imply the creation of a "super operator" charged with compiling information for all operator bouquets and creating the global ADT table.

5

- A decoder is normally configured to receive a bouquet of services in dependence on the rights transmitted by a subscription smart card or PCMCIA card inserted in the decoder. Based on the information received from the subscription card, the application manager within the decoder may then download the ADT table having the appropriate 10 TID extension value associated with this bouquet.

15

Changing the subscribed bouquet by changing the associated subscription card will cause the decoder to download the ADT table associated with the new bouquet of services and referred to by its own unique TID extension value. The TID extension value may be given directly in the information received from the subscription card, or may be derived from a table in the decoder. Equally, the decoder may be configured to the correct TID extension value by other means, for example, via a 20 modem link.

25

Alternatively, the decoder may be configured to scan and filter all ADT tables in the transport stream using the fixed PID, TID values. As will be described below, within each ADT table is a reference to the PMT value of the services to which the ADT table applies. From this information, the decoder can deduce which ADT table applies when operating in relation to a particular bouquet of services.

30

As shown, an ADT table 110 associated with the bouquet of services B1 is divided into three parts; a service description part 112, an application description part 113 and an (optional) signature part 114.

35

The service description part 112 contains information regarding which applications A1, A2, A3 etc. are carried by each service PMT1, PMT2 etc. in the bouquet of services B1. Each application is identified by a unique application ID (A1, A2 etc.).

In Figure 7, the service description part 112 identifies the service PMT1 as being associated with the applications A1, A3 etc. and the service PMT2 as being associated with the applications A1, A2, A4 etc.

5 The application description part 113 of the ADT table contains a description of the applications accessible via all services of the bouquet and links the application ID to data describing the characteristics of this application. The description typically contains the following parameters:

10 Application\_id. The application\_id enables identification by the Application Manager of the applications carried in each service of the bouquet. In this embodiment, since a different ADT table is associated with each bouquet, another bouquet of services may refer to its own applications by the same ID values and an application is therefore only uniquely identified by the pair of values (application\_id, bouquet\_id).

15 Application\_type: The type of the application, for example, a pure Java language application or a MHEG-5 application. This definition of type is necessary because the activation of an application can be completely different depending on its type and since different types of application may be carried in the same bouquet of services. Type can also include the version number of the software.

20 Application\_name: The name of the application as known by or displayed to the user. This is typically the name that the user will see when the application is started. For example, we can imagine writing a message in a window: "launching PILOT" upon activation of an application named "PILOT"

25 Application\_bootinfo: The access point of the application (depending on the application\_type) that the application manager has to address in order to download and to launch the application.

5

Application\_flag: This field gives the behaviour of the application concerning downloading, launching, etc. In particular, this field may be used to define whether an application is to be maintained or killed when changing between services in the bouquet, irrespective of any indications in the PMT table of the services in question.

10

Application\_key. The remote control key or other input action associated with activation of the application. For example, in case of a pilot or navigator type application, the application\_key may be a button of the remote control associated with the activation of the pilot. For auto-start applications, the application\_key value may be a default value.

15

Application\_exclusive. A flag to indicate that an application is exclusive to a service. This enables a list of application\_ids exclusive to each service to be assembled by the application manager, the application manager acting to delete an application in the case of changing to another service.

20

Application\_priority. The priority of the application, for example, between min(1) and max(7). In this regard, priority can refer to the priority of access to resources within the decoder and/or priority in terms of downloading of an application. If desired, two separate priority fields may be used to reflect this difference.

25

Application\_memory. The memory size necessary for the application to be downloaded. This corresponds not only the size of the application but to an estimation of the maximum amount of memory that will be used by the application itself and its data.

30

Application\_version. The present version of the application.

DVB triplet. This identifies a list of services, for applications which are specific to a service. The DVT triplet is made-up of an original Network\_Id,

a TransportStream\_Id, and a Service\_Id.

As will be appreciated, many types of information may be included and the factors in above list are not intended to be exhaustive and/or obligatory.

5

Other information in the application description part may include information needed to locate modules of an application contained within a further level of structure in the TID tables of sections of the service. For example, in addition to being packetised in tables and sections for transmission, an application may itself be organised in a data 10 carousel, for example, conforming to the DSMCC data format. The information contained in the ADT can include a path description or carousel address to enable the decoder to go to a specific entry point to download an application.

Finally, the ADT table 110 includes a signature 114 comprising an electronic signature 15 of the data in the ADT table 110 and which enables the decoder to verify the origin and integrity of the data in the table.

This may be created by the operator responsible for the bouquet, for example, using a combination of a hash algorithm (such as MD5) to obtain a hash value 20 corresponding to the data in the table, this hash value then being encrypted by a private key of a public/private algorithm (such as RSA). Verification of the ADT table may be carried out by a decoder possessing the same hash algorithm and supplied with the corresponding public key. The use of a combination of hash and 25 private/public key algorithms to verify communicated data is known and will not be described here in any further detail.

Alternatively or in addition, the ADT table may even be encrypted by a symmetric algorithm. However, as will be understood, use of an electronic signature at this level is optional and, in practice, verification may be carried out at a lower level, for 30 example, on the application data itself.

As described above, the ADT table for a given bouquet will have a predetermined PID

and TID extension value and this table will be loaded and verified immediately upon start up of the decoder, regardless of which service channel (if any) the decoder is tuned to. Once supplied with the information in this table, the application manager can then make reasoned choices regarding maintenance or non-maintenance of applications when tuned to or changing between services and without having to wait the downloading of a PMT table.

In particular, upon selection of a service or upon changing services the application manager may take into account information contained in the application\_flag, application\_exclusive, application\_priority and application\_memory fields in evaluating which applications to download, which applications to maintain, which applications must be deleted etc.

In the case of a decoder tuned firstly to the service channel PMT1 shown in Figure 7, the application manager will identify the applications A1, A3 contained within this service channel as being present and valid, that is as applications corresponding to applications listed in the service section 112 of the ADT table of the bouquet. Using the ADT table data for these applications, the application manager then carries out a determination as to whether or not to download the applications and, assuming all conditions are met (sufficient memory etc.) will download applications A1, A3 etc.

If the user now changes to the service channel PMT2, the application manager will identify the applications A1, A2, A4 as being present and valid in this channel.

In the case of the application A1, the application manager will be aware that this application is already downloaded and present in the decoder in its latest version and will normally not carry out any action, leaving A1 running "as is" in the decoder. In the case of the applications A2, A4 the application manager may, for example, evaluate the values application\_priority, application\_memory etc. of these applications and compare these values with the corresponding values of the application A3 previously downloaded and currently running in the decoder. The evaluation may also be carried out using the value application\_flag of the currently running application (see

above).

Even though the application A3 is not present and not required for all access to the possibilities provided by the service channel accessed via PMT2, the application  
5 manager may nevertheless decide in dependence on the value application\_flag to continue to run the application A3 in preference to, or as well as, downloading one or the other of the applications A2, A4. If the user then changes back to PMT1, the application A3 is thus immediately available.

10 Many other alternatives are possible. For example, the application manager may be configured to kill the application A1 (for example if A1 includes an application\_exclusive flag associated with PMT1); to maintain A3 for a limited period of time before killing A3 and downloading A2, A4; to maintain A3 until the user presses a key on the remote control and thereafter kill A3 and download one of the  
15 applications A2, A4 etc.

As will be understood, the use of an ADT table containing data over all services in a bouquet enables the application manager of the decoder to carry out an unusually sophisticated evaluation regarding the maintenance or non-maintenance of applications  
20 carried in a plurality of service streams.

In the above example, the ADT table has been described as being downloaded from the broadcast transport stream. In practice, the ADT table, or at least a start up version of the ADT table, may be loaded into the decoder at the moment of manufacture of the decoder, so as to enable the decoder to automatically load certain applications carried in some or all services in a bouquet. Alternatively, the decoder may download a version of the ADT table via its modem connection, via the smart card interface, via the serial port etc.  
25

CLAIMS

1. A method of transmission of application data in a plurality of services in a digital transport stream, each of said plurality of services carrying at least one application, the method comprising the step of providing an application data table containing information regarding said at least one application carried by each of a plurality of the services within the transport stream.
- 10 2. A method as claimed in claim 1 wherein the application data table is transported in a transport packet having a predetermined packet ID value associated with the presence of an application data table within the packet.
- 15 3. A method as claimed in claim 1 or 2, wherein said application data table is electronically signed so as to permit a decoder to verify an application data table as originating from a known operator.
- 20 4. A method as claimed in any preceding claim wherein each service further comprises a programme map table giving access to applications carried by this service, the programme map table itself comprising information regarding said at least one application carried by this service.
- 25 5. A method as claimed in any preceding claim wherein the application data table further comprises information regarding which applications may be accessed via each service.
- 30 6. A method as claimed in any preceding claim wherein the application information carried in the application data table further includes information relating to the size of memory required to execute an application.
7. A method as claimed in any preceding claim wherein the application information in the application data table includes a priority value indicating the relative priority of

- an application.
8. A method as claimed in any preceding claim wherein the application information in the application data table includes a service exclusive value indicating that an application is exclusive to at least one service.
- 5
9. A method as claimed in any preceding claim in which the application information in the application data table includes a flag value concerning the action to be taken with an application upon a change of service.
- 10
10. A method as claimed in any preceding claim, comprising providing a plurality of said application data tables, each application data table containing information regarding applications contained within a bouquet of services.
- 15
11. A method as claimed in claim 10 wherein each application data table is transported in one of a table and a section within a transport packet, each application data table being associated with one of a table and a section having one of a characteristic table ID and a characteristic table ID extension value.
- 20
12. A method as claimed in any preceding claim as applied to a digital television system.
13. A method as claimed in any preceding claim wherein the digital transport stream conforms to the MPEG standard.
- 25
14. A transmission apparatus for use in a method as claimed in any of claims 1 to 13, said apparatus comprising means for transmitting a transport stream comprising a plurality of services together with an application data table containing information regarding applications carried by a plurality of the services within the transport stream.
- 30
15. A transmission apparatus as claimed in claim 14 wherein the transmitting means is adapted to transmit the application data table in a transport packet having a

predetermined packet ID value associated with the presence of an application data table within the packet.

16. A transmission apparatus as claimed in claim 14 or 15, comprising means for  
5 electronically signing said application data table so as to permit a decoder to verify an  
application data table as originating from a known operator.

17. A transmission apparatus as claimed in any of claims 14 to 16 wherein the  
transmitting means is adapted to transmit for each service a programme map table  
10 giving access to applications carried by that service, the programme map table itself  
comprising information regarding said at least one application carried by this service.

18. A transmission apparatus as claimed in any of claims 14 to 17 wherein the  
application data table further comprises information regarding which applications may  
15 be accessed via each service.

19. A transmission apparatus as claimed in any of claims 14 to 18 wherein the  
application information carried in the application data table further includes  
information relating to the size of memory required to execute an application.

20  
20. A transmission apparatus as claimed in any of claims 14 to 19 wherein the  
application information in the application data table includes a priority value indicating  
the relative priority of an application.

25  
21. A transmission apparatus as claimed in any of claims 14 to 20 wherein the  
application information in the application data table includes a service exclusive value  
indicating that an application is exclusive to at least one service.

30  
22. A transmission apparatus as claimed in any of claims 14 to 21 wherein the  
application information in the application data table includes a flag value concerning  
the action to be taken with an application upon a change of service.

23. A transmission apparatus as claimed in any of claims 14 to 22, wherein the transmitting means is adapted to transmit a plurality of said application data tables, each application data table containing information regarding applications contained within a bouquet of services.

5

24. A transmission apparatus as claimed in claim 23 wherein the transmitting means is adapted to transmit each application data table in one of a table and a section within a transport packet, each application data table being associated with one of a table and a section having one of a characteristic table ID and a characteristic table ID extension value.

10

25. A transmission apparatus as claimed in any of claims 14 to 24 wherein the digital transport stream conforms to the MPEG standard.

15

26. A digital television system comprising transmission apparatus as claimed in any of claims 14 to 25.

20

27. A decoder for use in a method as claimed in any of claims 1 to 13, said decoder comprising a memory for storing an application data table comprising information regarding applications carried by a plurality of services within the transport stream, and means for controlling at least one of the downloading and maintenance of such applications in dependence on the information contained within the application data table.

25

28. A decoder comprising a memory for storing an application data table comprising information regarding applications carried by a plurality of services within the transport stream, and means for controlling at least one of the downloading and maintenance of such applications in dependence on the information contained within the application data table.

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29. An application data table containing information regarding at least one application carried by each of a plurality of services within a transport stream.

30. A decoder or table as claimed in any of claims 27 to 29, wherein said application data table is electronically signed so as to permit a decoder to verify an application data table as originating from a known operator.

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31. A decoder or table as claimed in any of claims 27 to 30 wherein the application data table further comprises information regarding which applications may be accessed via each service.

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32. A decoder or table as claimed in any of claims 27 to 31 wherein the application information carried in the application data table further includes information relating to the size of memory required to execute an application.

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33. A decoder or table as claimed in any of claims 27 to 32 wherein the application information in the application data table includes a priority value indicating the relative priority of an application.

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34. A decoder or table as claimed in any of claims 27 to 33 wherein the application information in the application data table includes a service exclusive value indicating that an application is exclusive to at least one service.

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35. A decoder or table as claimed in any of claims 27 to 34 in which the application information in the application data table includes a flag value concerning the action to be taken with an application upon a change of service.

36. A plurality of tables according to any of claims 29 to 35, each application data table containing information regarding applications contained within a bouquet of services.

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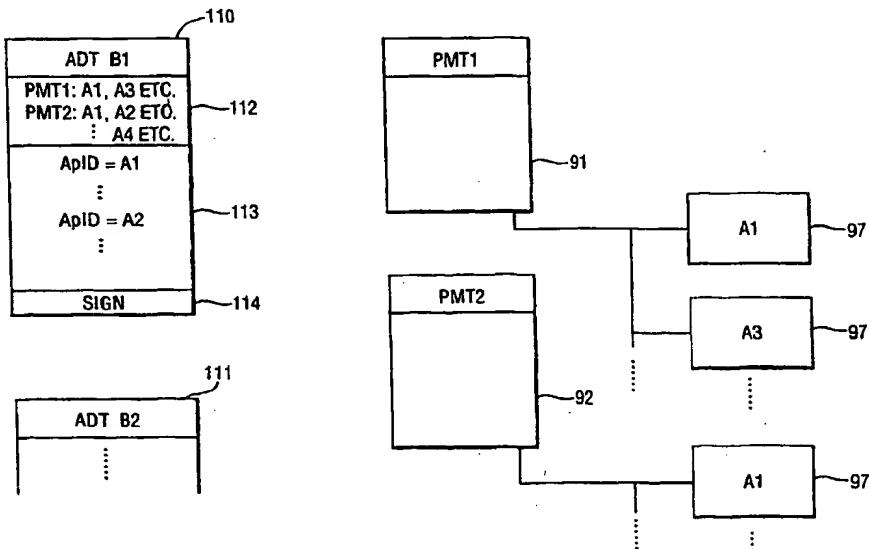
37. A plurality of tables as claimed in claim 36, wherein each application data table is associated with one of a table and a section having one of a characteristic table ID and a characteristic table ID extension value.

38. A method of transmission of application data in a plurality of services in a digital transport stream substantially as herein described with reference to the accompanying drawings.
- 5 39. A transmission apparatus substantially as herein described with reference to the accompanying drawings.
40. A decoder substantially as herein described with reference to the accompanying drawings.
- 10 41. An application data table substantially as herein described with reference to the accompanying drawings.

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(54) Title: APPLICATION DATA TABLE FOR A MULTISERVICE DIGITAL TRANSMISSION SYSTEM



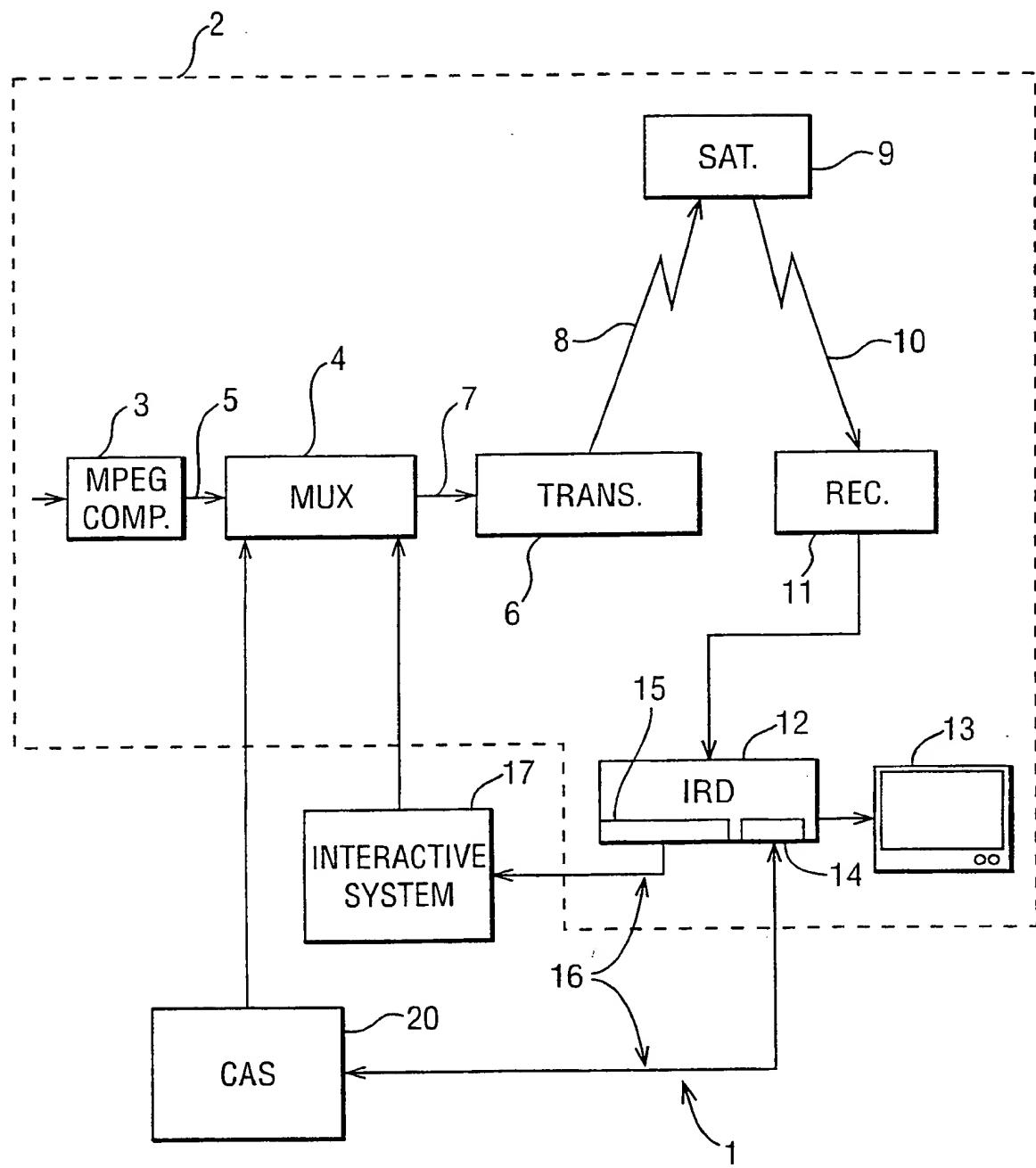
(57) Abstract

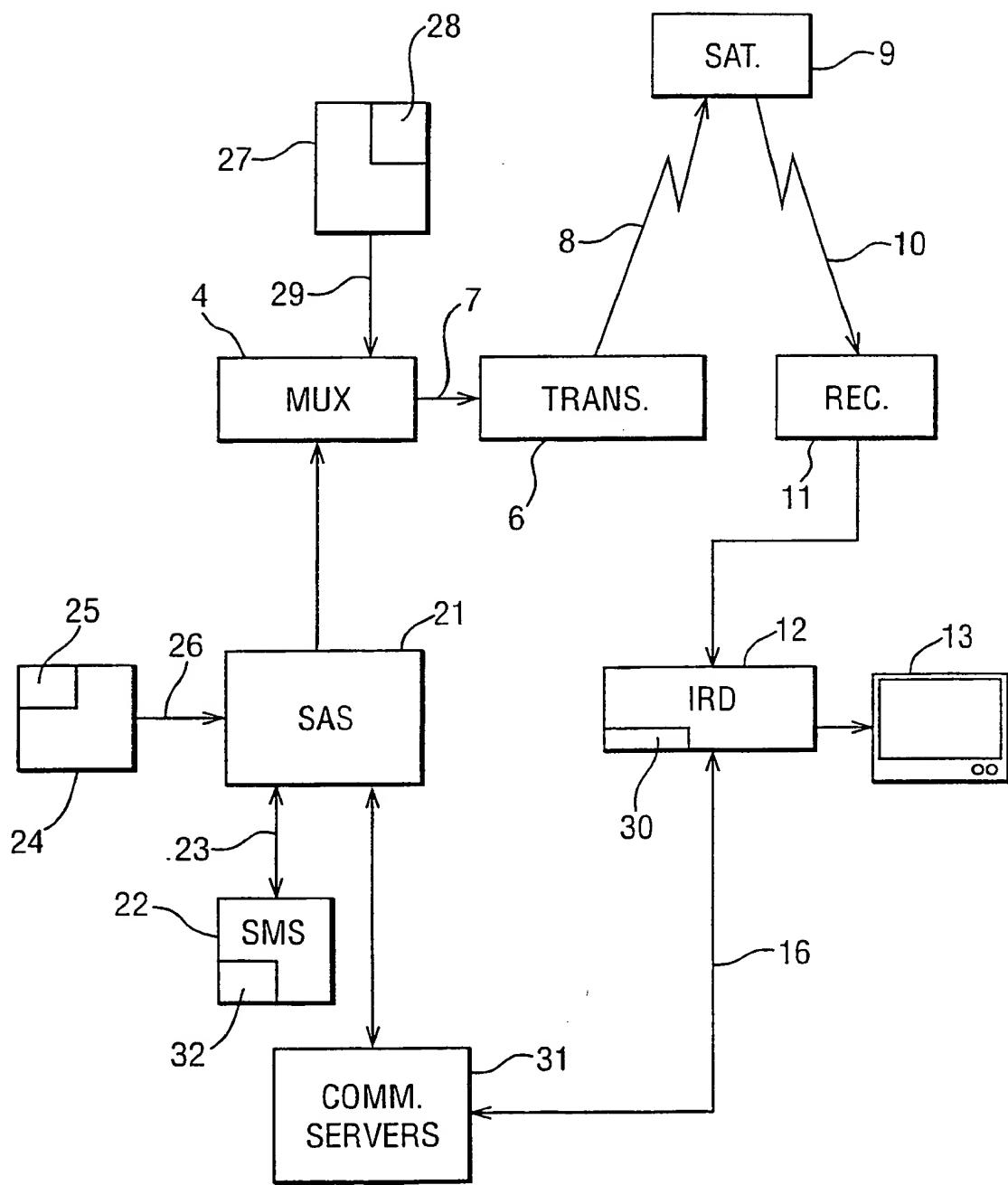
A method of transmission of application data (97) in a digital transport stream characterised in providing an application data table (110) containing information regarding the applications (97) carried in each service (91, 92) within the transport stream. The application data table (110) may conveniently be designated by a fixed PID value and a TID extension value varying in dependence on the bouquet of services chosen. The use of a single application data table to provide information across all services within a bouquet provides a number of advantages, in particular when deciding whether or not to maintain certain applications when switching between services.

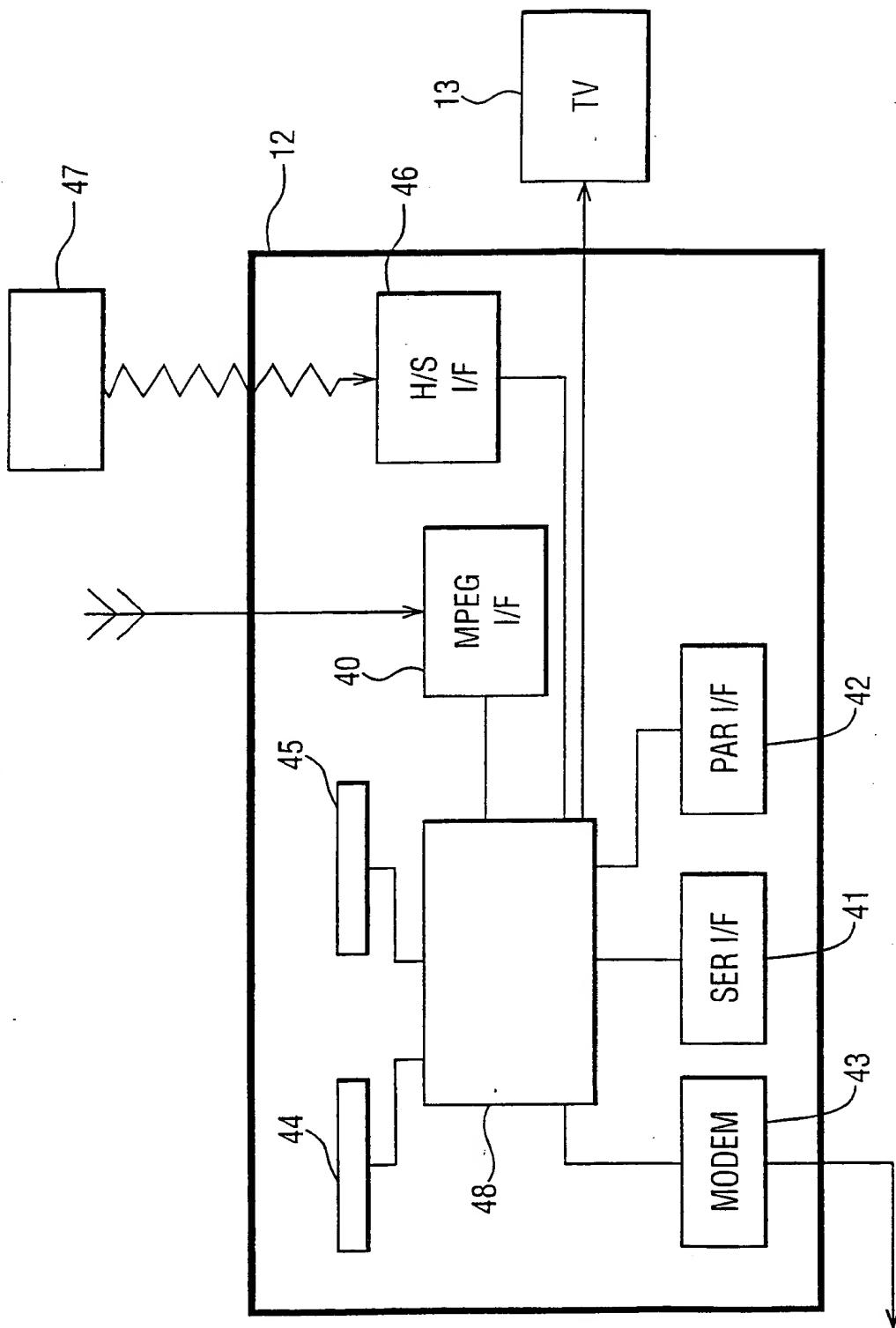
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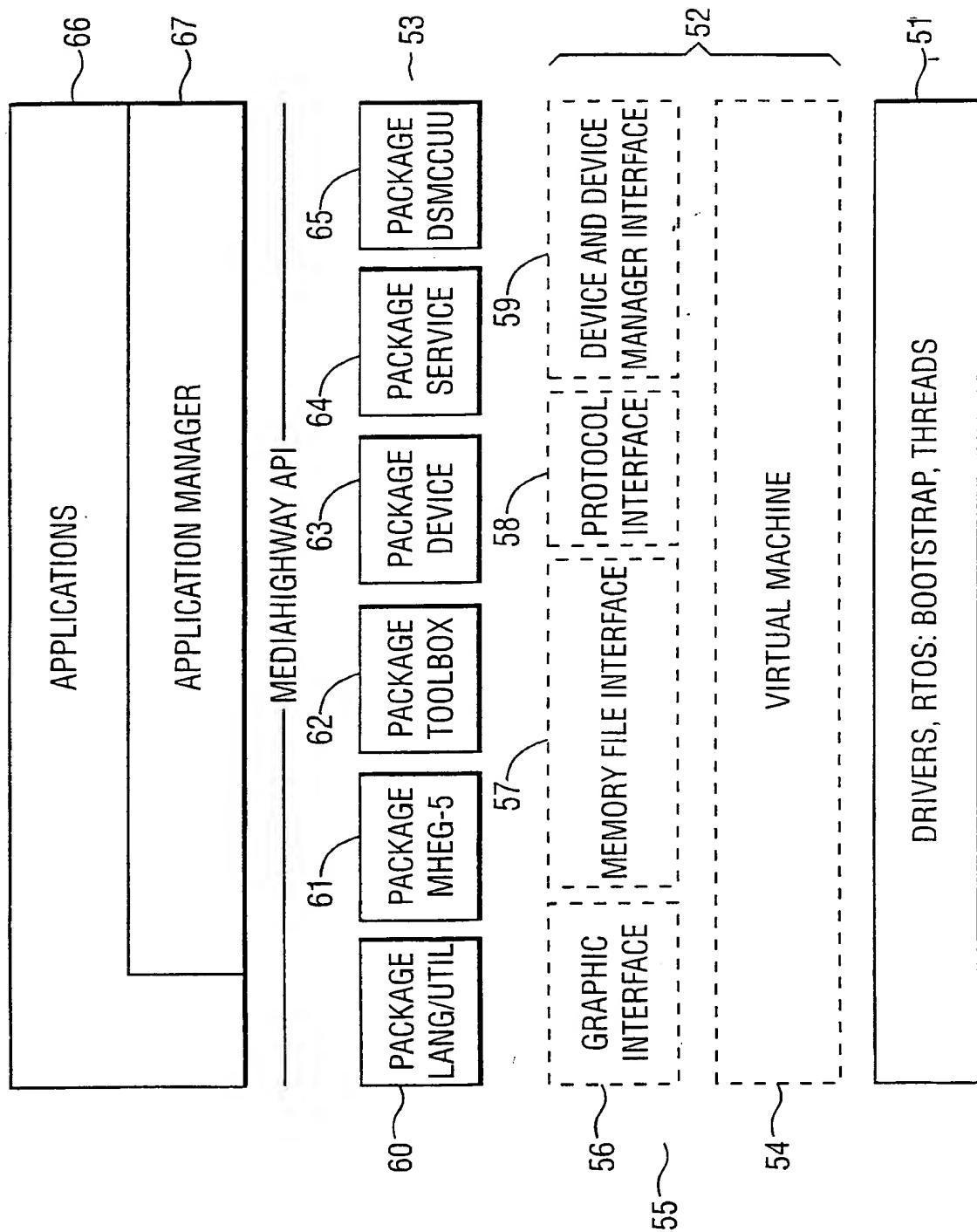
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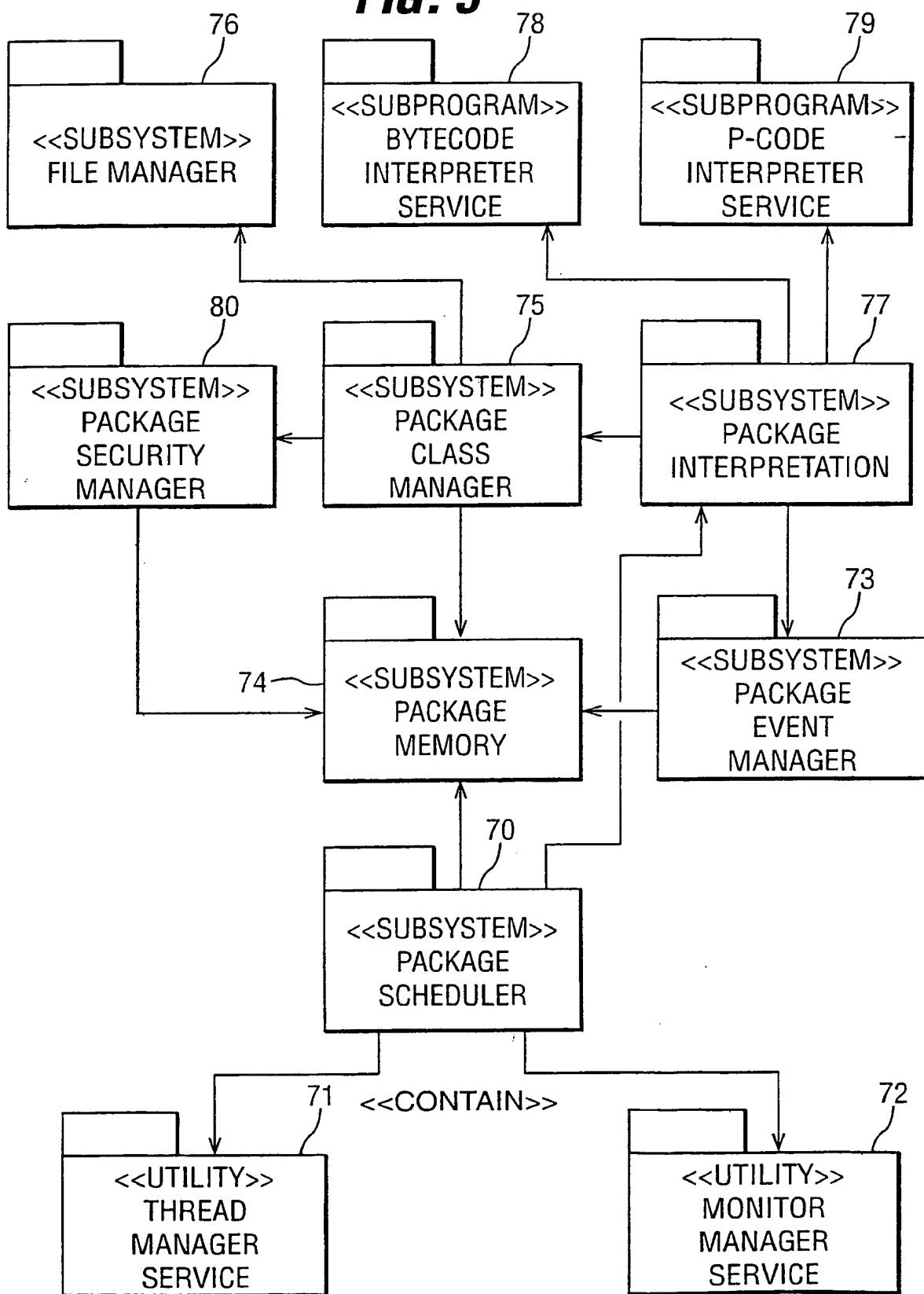
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DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

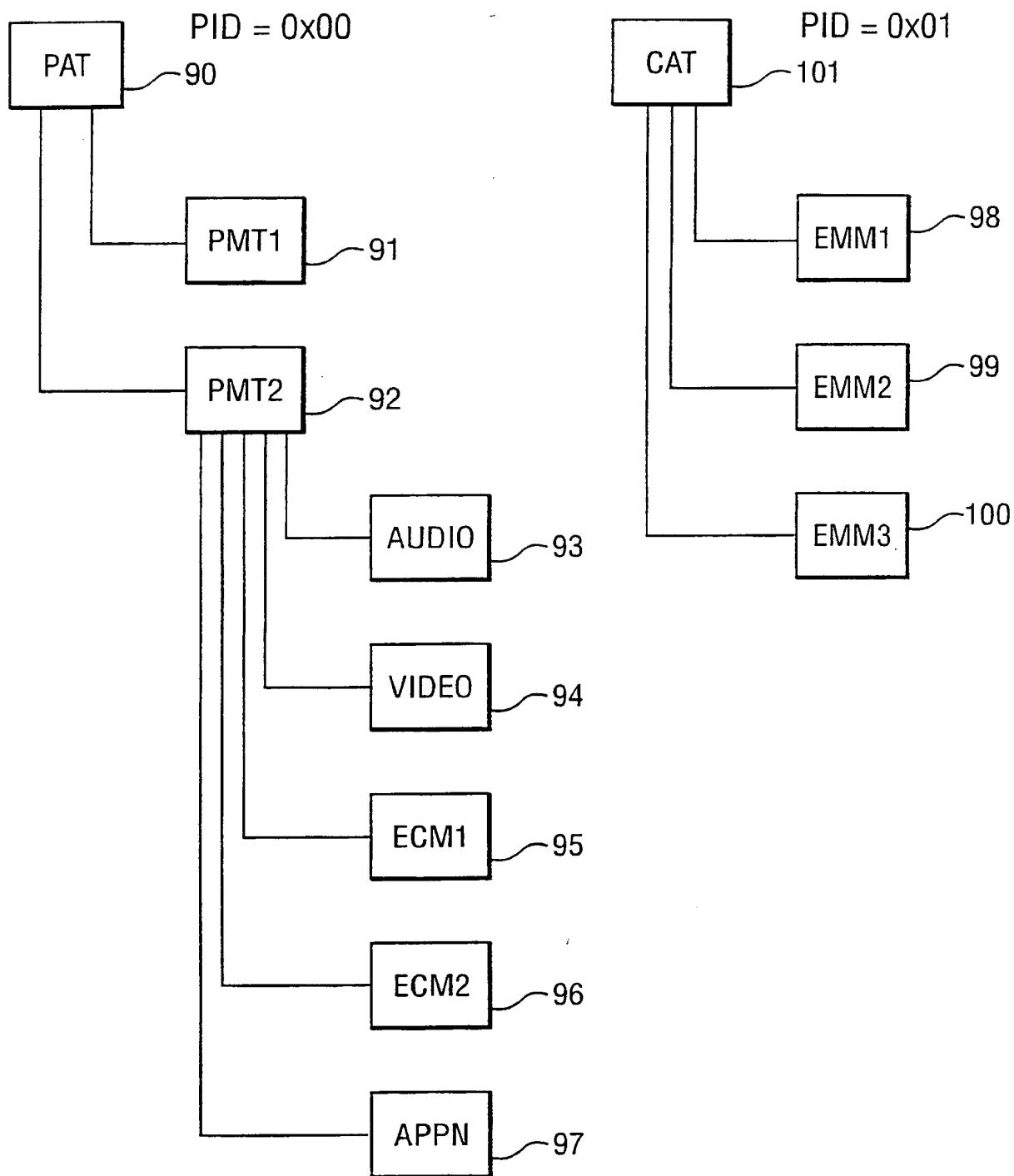
**FIG. 1**

**FIG. 2**

**FIG. 3**

**FIG. 4**

**FIG. 5**

**FIG. 6**

**FIG. 7**